

FORM NO. MGT-7

[Pursuant to sub-Section(1) of section 92 of the Companies Act, 2013 and sub-rule (1) of rule 11of the Companies (Management and Administration) Rules, 2014]



Annual Return (other than OPCs and Small Companies)

Form language English Hindi

Refer the instruction kit for filing the form.

I. REGISTRATION AND OTHER DETAILS

(i) * Corporate Identification Number (CIN) of the company

L14200TN2013PLC112346

Pre-fill

Global Location Number (GLN) of the company

* Permanent Account Number (PAN) of the company

AABCO8750F

(ii) (a) Name of the company

DALMIA BHARAT LIMITED

(b) Registered office address

Dalmiapuram Lalgudi
Dist. Tiruchirappalli
Tiruchirappalli
Tiruchirappalli
Tamil Nadu
621001

(c) *e-mail ID of the company

corp.sec@dalmiabharat.com

(d) *Telephone number with STD code

04329235132

(e) Website

www.dalmiabharat.com

(iii) Date of Incorporation

12/07/2013

| (iv) | Type of the Company | Category of the Company | Sub-category of the Company |
|------|---------------------|---------------------------|-------------------------------|
| | Public Company | Company limited by shares | Indian Non-Government company |

(v) Whether company is having share capital

Yes No

(vi) *Whether shares listed on recognized Stock Exchange(s)

Yes No

(a) Details of stock exchanges where shares are listed

| S. No. | Stock Exchange Name | Code |
|--------|--|-------|
| 1 | BSE Limited | 1 |
| 2 | National Stock Exchange of India Limited | 1,024 |

(b) CIN of the Registrar and Transfer Agent

U72400TG2017PTC117649

Pre-fill

Name of the Registrar and Transfer Agent

KFIN TECHNOLOGIES PRIVATE LIMITED

Registered office address of the Registrar and Transfer Agents

Selenium, Tower B, Plot No- 31 & 32,
Financial District, Nanakramguda, Serilingampally

(vii) *Financial year From date 01/04/2020 (DD/MM/YYYY) To date 31/03/2021 (DD/MM/YYYY)

(viii) *Whether Annual general meeting (AGM) held Yes No

(a) If yes, date of AGM

29/09/2021

(b) Due date of AGM

30/09/2021

(c) Whether any extension for AGM granted

Yes No

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

*Number of business activities

1

| S.No | Main Activity group code | Description of Main Activity group | Business Activity Code | Description of Business Activity | % of turnover of the company |
|------|--------------------------|--|------------------------|-----------------------------------|------------------------------|
| 1 | M | Professional, Scientific and Technical | M3 | Management consultancy activities | 100 |

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

*No. of Companies for which information is to be given 34

Pre-fill All

| S.No | Name of the company | CIN / FCRN | Holding/ Subsidiary/Associate/ Joint Venture | % of shares held |
|------|----------------------------|-----------------------|--|------------------|
| 1 | DALMIA CEMENT (BHARAT) LIM | U65191TN1996PLC035963 | Subsidiary | 100 |
| 2 | DALMIA POWER LIMITED | U40109TN2005PLC057326 | Subsidiary | 100 |

| | | | | |
|----|-----------------------------|-----------------------|------------|-------|
| 3 | D.I. PROPERTIES LIMITED | U65993TN1996PLC035964 | Subsidiary | 100 |
| 4 | ISHITA PROPERTIES LIMITED | U45203DL1994PLC116832 | Subsidiary | 100 |
| 5 | GEETEE ESTATES LIMITED | U65993TN1996PLC035965 | Subsidiary | 100 |
| 6 | HEMSHILA PROPERTIES LIMITE | U65191TN1996PLC035962 | Subsidiary | 100 |
| 7 | SHRI RANGAM PROPERTIES LIM | U65993TN1997PLC037308 | Subsidiary | 100 |
| 8 | SRI SHANMUGHA MINES & MIN | U14107TN2006PLC058817 | Subsidiary | 100 |
| 9 | SRI SWAMINATHA MINES & MI | U14107TN2006PLC058820 | Subsidiary | 100 |
| 10 | SRI SUBRAMANYA MINES & MI | U14107TN2006PLC058816 | Subsidiary | 100 |
| 11 | DALMIA BHARAT REFRACTORI | U26100TN2006PLC061254 | Subsidiary | 99.99 |
| 12 | SRI TRIVIKRAMA MINES AND PI | U14102TN2006PLC061212 | Subsidiary | 100 |
| 13 | SRI MADHUSUDANA MINES AN | U14105TN2006PLC061260 | Subsidiary | 100 |
| 14 | DALMIA MINERALS & PROPERT | U14107TN2005PLC057327 | Subsidiary | 100 |
| 15 | SUTNGA MINES PRIVATE LIMIT | U13209ML2007PTC008281 | Subsidiary | 100 |
| 16 | COSMOS CEMENTS LIMITED | U26959ML2007PLC008279 | Subsidiary | 100 |
| 17 | RAJPUTANA PROPERTIES PRIV | U26100RJ2008PTC025767 | Subsidiary | 100 |
| 18 | GOLDEN HILLS RESORT PRIVA | U55101RJ2003PTC018766 | Subsidiary | 100 |
| 19 | CALCOM CEMENT INDIA LIMIT | U26942AS2004PLC007538 | Subsidiary | 76 |
| 20 | VINAY CEMENT LIMITED | U26942AS1986PLC002553 | Subsidiary | 97.21 |
| 21 | SCL CEMENTS LIMITED | U26959AS1999PLC008422 | Subsidiary | 100 |
| 22 | RCL CEMENTS LIMITED | U26941AS1997PLC005279 | Subsidiary | 100 |
| 23 | JAYEVIJAY AGRO FARMS PRIVA | U01400TN2013PTC094135 | Subsidiary | 100 |
| 24 | DALMIA DSP LIMITED | U26942WB1937PLC009086 | Subsidiary | 100 |
| 25 | HOPCO INDUSTRIES LIMITED | U74999DL2018PLC343439 | Subsidiary | 100 |
| 26 | ALSTHOM INDUSTRIES LIMITE | U26100AS2016PLC017688 | Subsidiary | 100 |
| 27 | BANGARU KAMAKSHIAMMAN | U01400TN2013PTC090653 | Subsidiary | 100 |

| | | | | |
|----|-----------------------------|-----------------------|------------|-----|
| 28 | CHANDRASEKARA AGRO FARM | U01400TN2013PTC094136 | Subsidiary | 100 |
| 29 | DALMIA OCL LIMITED | U26100TN2018PLC125133 | Subsidiary | 100 |
| 30 | MURLI INDUSTRIES LIMITED CN | U01110MH1991PLC064271 | Subsidiary | 100 |
| 31 | ASCENSION MERCANTILE PRIV | U74999MH2018PTC313505 | Subsidiary | 100 |
| 32 | ASCENSION MULTIVENTURES F | U74999MH2018PTC314088 | Subsidiary | 100 |
| 33 | OCL Global Limited | | Subsidiary | 100 |
| 34 | OCL China Limited | | Subsidiary | 90 |

IV. SHARE CAPITAL, DEBENTURES AND OTHER SECURITIES OF THE COMPANY

(i) *SHARE CAPITAL

(a) Equity share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of equity shares | 1,595,500,000 | 187,117,513 | 187,117,513 | 187,117,513 |
| Total amount of equity shares (in Rupees) | 3,191,000,000 | 374,235,026 | 374,235,026 | 374,235,026 |

Number of classes

1

| Class of Shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of equity shares | 1,595,500,000 | 187,117,513 | 187,117,513 | 187,117,513 |
| Nominal value per share (in rupees) | 2 | 2 | 2 | 2 |
| Total amount of equity shares (in rupees) | 3,191,000,000 | 374,235,026 | 374,235,026 | 374,235,026 |

(b) Preference share capital

| Particulars | Authorised capital | Issued capital | Subscribed capital | Paid-up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Total number of preference shares | 50,100,000 | 0 | 0 | 0 |
| Total amount of preference shares (in rupees) | 510,000,000 | 0 | 0 | 0 |

Number of classes

2

| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
|---|--------------------|----------------|--------------------|-----------------|
| Number of preference shares | 100,000 | 0 | 0 | 0 |
| Nominal value per share (in rupees) | 100 | 100 | 100 | 100 |
| Total amount of preference shares (in rupees) | 10,000,000 | 0 | 0 | 0 |
| Class of shares | Authorised capital | Issued capital | Subscribed capital | Paid up capital |
| Number of preference shares | 50,000,000 | 0 | 0 | 0 |
| Nominal value per share (in rupees) | 10 | 10 | 10 | 10 |
| Total amount of preference shares (in rupees) | 500,000,000 | 0 | 0 | 0 |

(c) Unclassified share capital

| Particulars | Authorised Capital |
|-------------------------------------|--------------------|
| Total amount of unclassified shares | 0 |

(d) Break-up of paid-up share capital

| Class of shares | Number of shares | | | Total nominal amount | Total Paid-up amount | Total premium |
|---|------------------|-------------|-----------|----------------------|----------------------|---------------|
| | Physical | DEMAT | Total | | | |
| Equity shares | | | | | | |
| At the beginning of the year | 5,029,272 | 187,929,281 | 192958553 | 385,917,100 | 385,917,100 | |
| Increase during the year | 0 | 3,238,533 | 3238533 | 651,000 | 651,000 | 52,788,307.4 |
| i. Public Issues | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Rights issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Bonus issue | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Private Placement/ Preferential allotment | 0 | 0 | 0 | 0 | 0 | 0 |
| v. ESOPs | 0 | 325,500 | 325500 | 651,000 | 651,000 | 52,788,307.4 |
| vi. Sweat equity shares allotted | 0 | 0 | 0 | 0 | 0 | 0 |
| vii. Conversion of Preference share | 0 | 0 | 0 | 0 | 0 | 0 |
| viii. Conversion of Debentures | 0 | 0 | 0 | 0 | 0 | 0 |
| ix. GDRs/ADRs | 0 | 0 | 0 | 0 | 0 | |
| x. Others, specify | 0 | 2,913,033 | 2913033 | | | |
| Conversion from Physical to Demat | | | | | | |

| | | | | | | |
|-----------------------------------|-----------|-------------|-----------|-------------|-------------|--------------|
| Decrease during the year | 2,913,033 | 6,166,540 | 9079573 | 12,333,080 | 12,333,080 | 3,268,032,70 |
| i. Buy-back of shares | 0 | 6,166,540 | 6166540 | 12,333,080 | 12,333,080 | 3,268,032,70 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | 2,913,033 | | 2913033 | 0 | 0 | |
| Conversion from Demat to Physical | | | | | | |
| At the end of the year | 2,116,239 | 185,001,274 | 187117513 | 374,235,026 | 374,235,026 | |

Preference shares

| | | | | | | |
|-------------------------------------|---|---|---|---|---|---|
| At the beginning of the year | 0 | 0 | 0 | 0 | 0 | |
| Increase during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Issues of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Re-issue of forfeited shares | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Others, specify | | | | | | |
| | | | | | | |
| Decrease during the year | 0 | 0 | 0 | 0 | 0 | 0 |
| i. Redemption of shares | 0 | 0 | 0 | 0 | 0 | 0 |
| ii. Shares forfeited | 0 | 0 | 0 | 0 | 0 | 0 |
| iii. Reduction of share capital | 0 | 0 | 0 | 0 | 0 | 0 |
| iv. Others, specify | | | | | | |
| | | | | | | |
| At the end of the year | 0 | 0 | 0 | 0 | 0 | |

ISIN of the equity shares of the company

INE00R701025

(ii) Details of stock split/consolidation during the year (for each class of shares)

0

| Class of shares | | (i) | (ii) | (iii) |
|------------------------------|----------------------|-----|------|-------|
| Before split / Consolidation | Number of shares | | | |
| | Face value per share | | | |
| After split / Consolidation | Number of shares | | | |
| | Face value per share | | | |

(iii) Details of shares/Debentures Transfers since closure date of last financial year (or in the case of the first return at any time since the incorporation of the company) *

Nil

[Details being provided in a CD/Digital Media]

Yes No Not Applicable

Separate sheet attached for details of transfers

Yes No

Note: In case list of transfer exceeds 10, option for submission as a separate sheet attachment or submission in a CD/Digital Media may be shown.

| | |
|---|----------------------|
| Date of the previous annual general meeting | <input type="text"/> |
|---|----------------------|

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|---|----------------------|---|----------------------|
| Number of Shares/ Debentures/ Units Transferred | <input type="text"/> | Amount per Share/ Debenture/Unit (in Rs.) | <input type="text"/> |
|---|----------------------|---|----------------------|

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferor | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferor's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|----------------------------|----------------------|
| Ledger Folio of Transferee | <input type="text"/> |
|----------------------------|----------------------|

| | | | |
|-------------------|----------------------|----------------------|----------------------|
| Transferee's Name | <input type="text"/> | <input type="text"/> | <input type="text"/> |
| | Surname | middle name | first name |

| | |
|--|----------------------|
| Date of registration of transfer (Date Month Year) | <input type="text"/> |
|--|----------------------|

| | | |
|------------------|----------------------|--|
| Type of transfer | <input type="text"/> | 1 - Equity, 2- Preference Shares,3 - Debentures, 4 - Stock |
|------------------|----------------------|--|

| | | | |
|--|---------|--|------------|
| Number of Shares/ Debentures/ Units Transferred | | Amount per Share/ Debenture/Unit (in Rs.) | |
| Ledger Folio of Transferor | | | |
| Transferor's Name | | | |
| | Surname | middle name | first name |
| Ledger Folio of Transferee | | | |
| Transferee's Name | | | |
| | Surname | middle name | first name |

(iv) *Debentures (Outstanding as at the end of financial year)

| Particulars | Number of units | Nominal value per unit | Total value |
|-------------------------------|-----------------|------------------------|-------------|
| Non-convertible debentures | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 |
| Total | | | 0 |

Details of debentures

| Class of debentures | Outstanding as at the beginning of the year | Increase during the year | Decrease during the year | Outstanding as at the end of the year |
|-------------------------------|---|--------------------------|--------------------------|---------------------------------------|
| Non-convertible debentures | 0 | 0 | 0 | 0 |
| Partly convertible debentures | 0 | 0 | 0 | 0 |
| Fully convertible debentures | 0 | 0 | 0 | 0 |

(v) Securities (other than shares and debentures)

0

| Type of Securities | Number of Securities | Nominal Value of each Unit | Total Nominal Value | Paid up Value of each Unit | Total Paid up Value |
|--------------------|----------------------|----------------------------|---------------------|----------------------------|---------------------|
| | | | | | |
| | | | | | |
| Total | | | | | |

V. *Turnover and net worth of the company (as defined in the Companies Act, 2013)

(i) Turnover

1,480,148,743

(ii) Net worth of the Company

72,664,804,074

VI. (a) *SHARE HOLDING PATTERN - Promoters

| S. No. | Category | Equity | | Preference | |
|--------|---|------------------|------------|------------------|------------|
| | | Number of shares | Percentage | Number of shares | Percentage |
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 29 | 0 | 0 | |
| | (ii) Non-resident Indian (NRI) | 0 | 0 | 0 | |
| | (iii) Foreign national (other than NRI) | 0 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 0 | 0 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 0 | 0 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 0 | 0 | 0 | |
| 7. | Mutual funds | 0 | 0 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 99,188,813 | 53.01 | 0 | |
| 10. | Others Trust | 5,542,706 | 2.96 | 0 | |
| | Total | 104,731,548 | 55.97 | 0 | 0 |

Total number of shareholders (promoters)

27

(b) *SHARE HOLDING PATTERN - Public/Other than promoters

| S. No. | Category | Equity | Preference |
|--------|----------|--------|------------|
|--------|----------|--------|------------|

| | | Number of shares | Percentage | Number of shares | Percentage |
|-----|---|------------------|------------|------------------|------------|
| 1. | Individual/Hindu Undivided Family | | | | |
| | (i) Indian | 23,340,893 | 12.47 | 0 | |
| | (ii) Non-resident Indian (NRI) | 1,150,716 | 0.61 | 0 | |
| | (iii) Foreign national (other than NRI) | 1,250 | 0 | 0 | |
| 2. | Government | | | | |
| | (i) Central Government | 0 | 0 | 0 | |
| | (ii) State Government | 137,910 | 0.07 | 0 | |
| | (iii) Government companies | 0 | 0 | 0 | |
| 3. | Insurance companies | 0 | 0 | 0 | |
| 4. | Banks | 181,433 | 0.1 | 0 | |
| 5. | Financial institutions | 0 | 0 | 0 | |
| 6. | Foreign institutional investors | 25,194,186 | 13.46 | 0 | |
| 7. | Mutual funds | 6,280,928 | 3.36 | 0 | |
| 8. | Venture capital | 0 | 0 | 0 | |
| 9. | Body corporate (not mentioned above) | 14,714,351 | 7.86 | 0 | |
| 10. | Others QIB (2819525), NBFC (48928 | 11,384,298 | 6.08 | 0 | |
| | Total | 82,385,965 | 44.01 | 0 | 0 |

Total number of shareholders (other than promoters)

32,520

**Total number of shareholders (Promoters+Public/
Other than promoters)**

32,547

(c) *Details of Foreign institutional investors' (FIIs) holding shares of the company

204

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|---------------------|-------------------|-----------------------|--------------------------|-----------------------|------------------|
| VALIANT MAURITIUS F | As per Attachment | | | 2,788,121 | 1.49 |
| VALIANT MAURITIUS F | As per Attachment | | | 1,319,047 | 0.7 |
| FRANKLIN TEMPLETON | As per Attachment | | | 1,286,131 | 0.69 |

| Name of the FII | Address | Date of Incorporation | Country of Incorporation | Number of shares held | % of shares held |
|----------------------|-------------------|-----------------------|--------------------------|-----------------------|------------------|
| GOVERNMENT OF SIN | As per Attachment | | | 1,062,199 | 0.57 |
| CAISSE DE DEPOT ET F | As per Attachment | | | 1,000,000 | 0.53 |
| TIMF HOLDINGS | As per Attachment | | | 994,198 | 0.53 |
| VALIANT INDIA OPPOI | As per Attachment | | | 841,098 | 0.45 |
| KAPITALFORENINGEN | As per Attachment | | | 839,414 | 0.45 |
| VANGUARD EMERGIN | As per Attachment | | | 743,253 | 0.4 |
| ISHARES CORE EMERG | As per Attachment | | | 675,308 | 0.36 |
| WELLS FARGO EMERG | As per Attachment | | | 624,658 | 0.33 |
| VANGUARD TOTAL IN | As per Attachment | | | 612,068 | 0.33 |
| LONG TERM INDIA FU | As per Attachment | | | 600,000 | 0.32 |
| KUWAIT INVESTMENT | As per Attachment | | | 569,955 | 0.3 |
| FRANKLIN TEMPLETON | As per Attachment | | | 449,945 | 0.24 |
| SG JOKALAND HOLDII | As per Attachment | | | 440,648 | 0.24 |
| ABU DHABI INVESTME | As per Attachment | | | 440,000 | 0.24 |
| ARJUNA FUND PTE. LT | As per Attachment | | | 421,415 | 0.23 |
| EMERGING MARKETS C | As per Attachment | | | 410,015 | 0.22 |
| GOVERNMENT PENSIC | As per Attachment | | | 386,872 | 0.21 |
| TEMPLETON GLOBAL I | As per Attachment | | | 349,776 | 0.19 |
| DALTON INVESTMENT | As per Attachment | | | 325,683 | 0.17 |
| DIMENSIONAL EMERG | As per Attachment | | | 284,948 | 0.15 |
| BLUE LOTUS INVESTM | As per Attachment | | | 268,674 | 0.14 |
| MIRAE ASSET ASIA SEC | As per Attachment | | | 267,496 | 0.14 |

VII. *NUMBER OF PROMOTERS, MEMBERS, DEBENTURE HOLDERS
(Details, Promoters, Members (other than promoters), Debenture holders)

| Details | At the beginning of the year | At the end of the year |
|-----------------------------------|------------------------------|------------------------|
| Promoters | 23 | 27 |
| Members (other than promoters) | 40,827 | 32,520 |
| Debenture holders | 0 | 0 |

VIII. DETAILS OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

(A) *Composition of Board of Directors

| Category | Number of directors at the beginning of the year | | Number of directors at the end of the year | | Percentage of shares held by directors as at the end of year | |
|--|--|---------------|--|---------------|--|---------------|
| | Executive | Non-executive | Executive | Non-executive | Executive | Non-executive |
| A. Promoter | 2 | 2 | 2 | 2 | 0 | 0 |
| B. Non-Promoter | 1 | 4 | 0 | 4 | 0 | 0.01 |
| (i) Non-Independent | 1 | 1 | 0 | 1 | 0 | 0.01 |
| (ii) Independent | 0 | 3 | 0 | 3 | 0 | 0 |
| C. Nominee Directors representing | 0 | 0 | 0 | 0 | 0 | 0 |
| (i) Banks & FIs | 0 | 0 | 0 | 0 | 0 | 0 |
| (ii) Investing institutions | 0 | 0 | 0 | 0 | 0 | 0 |
| (iii) Government | 0 | 0 | 0 | 0 | 0 | 0 |
| (iv) Small share holders | 0 | 0 | 0 | 0 | 0 | 0 |
| (v) Others | 0 | 0 | 0 | 0 | 0 | 0 |
| Total | 3 | 6 | 2 | 6 | 0 | 0.01 |

Number of Directors and Key managerial personnel (who is not director) as on the financial year end date

10

(B) (i) *Details of directors and Key managerial personnel as on the closure of financial year

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|-------------------|----------|-------------|--------------------------------|--|
| PRADIP KUMAR KHAI | 00004821 | Director | 0 | |

| Name | DIN/PAN | Designation | Number of equity share(s) held | Date of cessation (after closure of financial year : If any) |
|---------------------|------------|-------------------|--------------------------------|--|
| JAI HARI DALMIA | 00009717 | Director | 2 | 08/07/2021 |
| YADU HARI DALMIA | 00009800 | Director | 0 | |
| GAUTAM DALMIA | 00009758 | Managing Director | 1 | |
| PUNEET YADU DALMI. | 00022633 | Managing Director | 0 | |
| VIRENDRA SINGH JAIL | 00253196 | Director | 0 | |
| SUDHA PILLAI | 02263950 | Director | 0 | |
| NIDDODI SUBRAO RA. | 07339365 | Director | 14,905 | |
| SANJEEV GEMAWAT | AEQPG5956H | Company Secretar | 0 | |
| Dharmender Tuteja | AAAPT1087C | CFO | 0 | |

(ii) Particulars of change in director(s) and Key managerial personnel during the year

3

| Name | DIN/PAN | Designation at the beginning / during the financial year | Date of appointment/ change in designation/ cessation | Nature of change (Appointment/ Change in designation/ Cessation) |
|-------------------|------------|--|---|--|
| JAYESH NAGINDAS D | AAIPD3863G | CFO | 31/10/2020 | Cessation |
| JAYESH NAGINDAS D | 00017963 | Whole-time directo | 31/10/2020 | Cessation |
| Dharmender Tuteja | AAAPT1087C | CFO | 23/03/2021 | Appointment |

IX. MEETINGS OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

A. MEMBERS/CLASS /REQUISITIONED/NCLT/COURT CONVENED MEETINGS

Number of meetings held

1

| Type of meeting | Date of meeting | Total Number of Members entitled to attend meeting | Attendance | |
|------------------------|-----------------|--|----------------------------|-------------------------|
| | | | Number of members attended | % of total shareholding |
| Annual General Meeting | 30/09/2020 | 33,681 | 89 | 10.12 |

B. BOARD MEETINGS

*Number of meetings held

5

| S. No. | Date of meeting | Total Number of directors associated as on the date of meeting | Attendance | |
|--------|-----------------|--|------------------------------|-----------------|
| | | | Number of directors attended | % of attendance |
| 1 | 13/06/2020 | 9 | 9 | 100 |
| 2 | 06/08/2020 | 9 | 9 | 100 |
| 3 | 05/11/2020 | 8 | 8 | 100 |
| 4 | 04/02/2021 | 8 | 8 | 100 |
| 5 | 23/03/2021 | 8 | 4 | 50 |

C. COMMITTEE MEETINGS

Number of meetings held

12

| S. No. | Type of meeting | Date of meeting | Total Number of Members as on the date of the meeting | Attendance | |
|--------|-----------------|-----------------|---|----------------------------|-----------------|
| | | | | Number of members attended | % of attendance |
| 1 | AUDIT COMM | 13/06/2020 | 3 | 3 | 100 |
| 2 | AUDIT COMM | 06/08/2020 | 3 | 3 | 100 |
| 3 | AUDIT COMM | 05/11/2020 | 3 | 3 | 100 |
| 4 | AUDIT COMM | 04/02/2021 | 3 | 3 | 100 |
| 5 | AUDIT COMM | 23/03/2021 | 3 | 3 | 100 |
| 6 | NOMINATION | 14/10/2020 | 3 | 3 | 100 |
| 7 | NOMINATION | 14/01/2021 | 3 | 3 | 100 |
| 8 | NOMINATION | 01/02/2021 | 3 | 3 | 100 |
| 9 | NOMINATION | 23/03/2021 | 3 | 3 | 100 |
| 10 | STAKEHOLDER | 01/02/2021 | 3 | 2 | 66.67 |

D. ATTENDANCE OF DIRECTORS

| S. No. | Name of the director | Board Meetings | | | Committee Meetings | | | Whether attended AGM held on 29/09/2021 (Y/N/NA) |
|--------|----------------------|--|-----------------------------|-----------------|--|-----------------------------|-----------------|--|
| | | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | Number of Meetings which director was entitled to attend | Number of Meetings attended | % of attendance | |
| 1 | PRADIP KUM. | 5 | 5 | 100 | 9 | 9 | 100 | Yes |

| | | | | | | | | |
|---|--------------|---|---|-----|----|----|-------|----------------|
| 2 | JAI HARI DAL | 5 | 4 | 80 | 0 | 0 | 0 | Not Applicable |
| 3 | YADU HARI D | 5 | 4 | 80 | 2 | 2 | 100 | Yes |
| 4 | GAUTAM DAL | 5 | 4 | 80 | 3 | 2 | 66.67 | Yes |
| 5 | PUNEET YAD | 5 | 4 | 80 | 0 | 0 | 0 | Yes |
| 6 | VIRENDRA SI | 5 | 5 | 100 | 8 | 8 | 100 | Yes |
| 7 | SUDHA PILLA | 5 | 5 | 100 | 11 | 11 | 100 | Yes |
| 8 | NIDDODI SUE | 5 | 5 | 100 | 5 | 5 | 100 | Yes |

X. *REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL

Nil

Number of Managing Director, Whole-time Directors and/or Manager whose remuneration details to be entered

3

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|----------------|--------------|--------------|------------|-------------------------------|--------|-----------------|
| 1 | GAUTAM DALMIA | MANAGING DIF | 150,558,325 | 0 | 0 | 0 | 150,558,325 |
| 2 | PUNEET YADU DA | MANAGING DIF | 200,911,627 | 0 | 0 | 0 | 200,911,627 |
| 3 | JAYESH NAGINDA | WHOLE TIME D | 30,591,407 | 0 | 0 | 0 | 30,591,407 |
| | Total | | 382,061,359 | 0 | 0 | 0 | 382,061,359 |

Number of CEO, CFO and Company secretary whose remuneration details to be entered

1

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|---------------|---------------|--------------|------------|-------------------------------|--------|-----------------|
| 1 | SANJEEV GEMAW | Company secre | 21,830,508 | 0 | 0 | 0 | 21,830,508 |
| | Total | | 21,830,508 | 0 | 0 | 0 | 21,830,508 |

Number of other directors whose remuneration details to be entered

6

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|-----------------|-------------|--------------|------------|-------------------------------|--------|-----------------|
| 1 | PRADIP KUMAR KI | DIRECTOR | 850,000 | 4,000,000 | 0 | 0 | 4,850,000 |
| 2 | JAI HARI DALMIA | DIRECTOR | 300,000 | 0 | 0 | 0 | 300,000 |
| 3 | YADU HARI DALMI | DIRECTOR | 340,000 | 0 | 0 | 0 | 340,000 |
| 4 | VIRENDRA SINGH | DIRECTOR | 830,000 | 2,000,000 | 0 | 0 | 2,830,000 |
| 5 | SUDHA PILLAI | DIRECTOR | 890,000 | 1,000,000 | 0 | 0 | 1,890,000 |

| S. No. | Name | Designation | Gross Salary | Commission | Stock Option/ Sweat equity | Others | Total Amount |
|--------|----------------|-------------|--------------|------------|-------------------------------|--------|-----------------|
| 6 | NIDDODI SUBRAO | DIRECTOR | 475,000 | 1,000,000 | 0 | 0 | 1,475,000 |
| | Total | | 3,685,000 | 8,000,000 | 0 | 0 | 11,685,000 |

XI. MATTERS RELATED TO CERTIFICATION OF COMPLIANCES AND DISCLOSURES

* A. Whether the company has made compliances and disclosures in respect of applicable provisions of the Companies Act, 2013 during the year Yes No

B. If No, give reasons/observations

XII. PENALTY AND PUNISHMENT - DETAILS THEREOF

(A) DETAILS OF PENALTIES / PUNISHMENT IMPOSED ON COMPANY/DIRECTORS /OFFICERS Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which penalised / punished | Details of penalty/ punishment | Details of appeal (if any) including present status |
|--|--|---------------|--|--------------------------------|---|
| | | | | | |

(B) DETAILS OF COMPOUNDING OF OFFENCES Nil

| Name of the company/ directors/ officers | Name of the court/ concerned Authority | Date of Order | Name of the Act and section under which offence committed | Particulars of offence | Amount of compounding (in Rupees) |
|--|--|---------------|---|------------------------|-----------------------------------|
| | | | | | |

XIII. Whether complete list of shareholders, debenture holders has been enclosed as an attachment

Yes No

(In case of 'No', submit the details separately through the method specified in instruction kit)

XIV. COMPLIANCE OF SUB-SECTION (2) OF SECTION 92, IN CASE OF LISTED COMPANIES

In case of a listed company or a company having paid up share capital of Ten Crore rupees or more or turnover of Fifty Crore rupees or more, details of company secretary in whole time practice certifying the annual return in Form MGT-8.

Name

R. VENKATASUBRAMANIAN

Whether associate or fellow

Associate Fellow

Certificate of practice number

3893

I/We certify that:

- (a) The return states the facts, as they stood on the date of the closure of the financial year aforesaid correctly and adequately.
- (b) Unless otherwise expressly stated to the contrary elsewhere in this Return, the Company has complied with all the provisions of the Act during the financial year.

Declaration

I am Authorised by the Board of Directors of the company vide resolution no. ... dated

(DD/MM/YYYY) to sign this form and declare that all the requirements of the Companies Act, 2013 and the rules made thereunder in respect of the subject matter of this form and matters incidental thereto have been complied with. I further declare that:

- 1. Whatever is stated in this form and in the attachments thereto is true, correct and complete and no information material to the subject matter of this form has been suppressed or concealed and is as per the original records maintained by the company.
- 2. All the required attachments have been completely and legibly attached to this form.

Note: Attention is also drawn to the provisions of Section 447, section 448 and 449 of the Companies Act, 2013 which provide for punishment for fraud, punishment for false statement and punishment for false evidence respectively.

To be digitally signed by

Director

GAUTAM DALMIA
Digitally signed by GAUTAM DALMIA
Date: 2021.11.25
12:56:35 +05'30'

DIN of the director

To be digitally signed by

SANJEEV GEMAWAT
Digitally signed by SANJEEV GEMAWAT
Date: 2021.11.25
12:57:41 +05'30'

- Company Secretary
- Company secretary in practice

Membership number

Certificate of practice number

Attachments

- 1. List of share holders, debenture holders
- 2. Approval letter for extension of AGM;
- 3. Copy of MGT-8;
- 4. Optional Attachment(s), if any

List of attachments

-
-
-
-

3 MGT 8 25 10 21.pdf
DBL Committee Details 31-03-2021.pdf
DBL FIs Details 31-03-2021.pdf
DBL Transfer Details 31-03-20 for 2021.pd
DBL Clarification on JV and LLP Details 31

This eForm has been taken on file maintained by the Registrar of Companies through electronic mode and on the basis of statement of correctness given by the company

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES (INCLUDING JOINT VENTURES)

No. of Companies for which information is to be given - **37 (including Joint Venture & LLP mentioned below)**

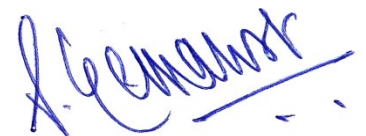
| S.No | Name of Company | CIN/FCRN | Holding/ Subsidiary/Associate / Joint Venture | Extend of Holding % |
|------|---|-----------------------|---|---------------------------|
| 35. | Radhikapur (West) Coal Mining Private Limited | U10100OR2010PTC011795 | Joint Venture | 14.70% |
| 36. | Khappa Coal Company Private Limited | U10100MH2009PTC191907 | Joint Venture | 36.73% |

| S.No | Name of Company /LLP | CIN/LLPIN | Holding/ Subsidiary/Associate / Joint Venture | % of shares held |
|------|-------------------------|-----------|---|------------------------|
| 37. | DPVL Ventures LLP | AAF-2933 | Subsidiary | 100% |

Please note that company has only two subsidiaries i.e. Dalmia Cement (Bharat) Limited & Dalmia Power Limited rest are step down subsidiaries.

Kindly take the same on your record.

For Dalmia Bharat Limited

Dr. Sanjeev Gemawat
Group General Counsel &
Company Secretary
Membership No. F3669

Dalmia Bharat Limited

11th & 12th Floors, Hansalaya Building, 15, Barakhamba Road, New Delhi-110 001, India
t 91 11 23465100 f 91 11 2331 3303 w www.dalmiabharat.com CIN : L14200TN2013PLC112346
Registered Office: Dalmiapuram, Dist. Tiruchirapalli, Tamil Nadu- 621 651, India
A **Dalmia Bharat Group** company, www.dalmiabharat.com

(iii) Details of shares/Debentures Transfers since closure date of last financial year :

DALMIA BHARAT LTD

Regd.Office:DALMIAPURAM, LALGUDI TALUK, TIRUCHIRAPALLI DIST, TAMIL NADU 621651

CIN NO: L14200TN2013PLC112346

Date of closure of previous financial year :30/03/2020

| Sno | Date of Registration of Transfer of Shares | Type of Security | No of Shares | Nominal value (each in Rs.) | Ledger Folio of Transferor | Transferor's Name | Ledger Folio of Transferee | Transferee's Name |
|-----|--|------------------|--------------|-----------------------------|----------------------------|-------------------------------|----------------------------|------------------------------|
| 1 | 07/09/2020 | 1 | 600 | 2 | DBL0110505 | TEHMI KAIKHUSHRU NADIRSHA | DBL0228263 | GODREJ RATANJI PANTHAKI |
| 2 | 07/09/2020 | 1 | 500 | 2 | DBL0110747 | KIRTIKUMAR RASIKLAL GANDHI | DBL0228264 | BHARTI KIRTIKUMAR GANDHI |
| 3 | 07/09/2020 | 1 | 180 | 2 | DBL0225476 | GOPALDAS VITHALDAS ARHATIA | DBL0228265 | ANIL KUMAR ARHATIA |
| 4 | 07/09/2020 | 1 | 430 | 2 | DBL0227989 | MADHUKAR GOPAL PRADHAN | DBL0228266 | RAJIV MADHUKAR PRADHAN |
| 5 | 07/09/2020 | 1 | 160 | 2 | DBL0226228 | HIRALAL BANERJEE | DBL0228267 | AMITAVA HIRALAL BANERJEE |
| 6 | 07/09/2020 | 1 | 155 | 2 | DBL0111042 | KR ANUSUYA | DBL0228268 | K R KARUPPAN CHETTY |
| 7 | 07/09/2020 | 1 | 140 | 2 | DBL0111062 | G RUKMANI | DBL0228269 | G ARUN LAKSHMINARAYAN |
| 8 | 18/09/2020 | 1 | 3090 | 2 | DBL0224901 | GUNMALA JAIN | DBL0228270 | SUNIL JAIN |
| 9 | 21/09/2020 | 1 | 1350 | 2 | DBL0228059 | PRAVIN BABUBHAI ASHER | DBL0228271 | RUPA P ASHER |
| 10 | 28/09/2020 | 1 | 950 | 2 | DBL0227314 | SAUGATA LAW | DBL0228272 | SAUGATA LAW |
| 11 | 30/10/2020 | 1 | 600 | 2 | DBL0111324 | JYOTI PRAKASH PAUL | DBL0228273 | JYOTI PRAKASH PAUL |
| 12 | 30/10/2020 | 1 | 4480 | 2 | DBL0227686 | JYOTI PRAKASH PAUL | DBL0228274 | JYOTI PRAKASH PAUL |
| 13 | 23/11/2020 | 1 | 2800 | 2 | DBL0225274 | SARDAR ARJAN SINGH CONTRACTOR | DBL0228275 | HARPREET KAUR |
| 14 | 30/11/2020 | 1 | 1500 | 2 | DBL0226882 | D.R.MANICKAM | DBL0228276 | M MEENA |
| 15 | 30/11/2020 | 1 | 930 | 2 | DBL0226879 | D.R.MANICKAM | DBL0228277 | M MEENA |
| 16 | 16/12/2020 | 1 | 1440 | 2 | DBL0225710 | KANWAL RAJ BHATIA | DBL0228278 | ANIL KUMAR BHATIA |
| 17 | 22/12/2020 | 1 | 370 | 2 | DBL0227681 | SHAIKH SARAJUDDIN | DBL0228279 | ANJAR HUSSAIN |
| 18 | 26/12/2020 | 1 | 280 | 2 | DBL0226668 | JANAKI ALWAR | DBL0228280 | SAMPATH MANDYA ANAND |
| 19 | 29/12/2020 | 1 | 2610 | 2 | DBL0225091 | VED PRABHA | DBL0228281 | AMITA NARANG |
| 20 | 01/03/2021 | 1 | 60 | 2 | DBL0110887 | KIRTIKUMAR SHIVJI RUPAREL | DBL0228285 | LILAVANTI KIRTIKUMAR RUPAREL |
| 21 | 20/03/2021 | 1 | 695 | 2 | DBL0110174 | PRAMOD NAGRATH | DBL0228286 | RENU NAGRATH |
| 22 | 20/03/2021 | 1 | 695 | 2 | DBL0110175 | PRAMOD NAGRATH | DBL0228287 | RENU NAGRATH |
| 23 | 30/03/2021 | 1 | 70 | 2 | DBL0110261 | ASHOKE CHATTERJEE | DBL0228288 | JAINISH JAGDISH PATEL |

VI.(c) Details of Foreign institutional investors' (FIIs) holding shares of the company:

DALMIA BHARAT LTD
List of FIIs/FPC as on 31/03/2021

| SLNO | DPID | FOLIO/CL-ID | NAME/JOINT NAME(S) | ADDRESS | COUNTRY OF INCORPORATION | REGN. NO WITH SEBI | HOLDING | % TO EQT | MINOR CODE | PAN NO |
|------|----------|-------------|---|---|--------------------------|--------------------|---------|----------|------------|------------|
| 1 | IN300054 | 10095891 | VALIANT MAURITIUS PARTNERS OFFSHORE LIMITED | 4TH FLOOR 19 BANK STREET CYBERCITY EBENE | MAURITIUS | INMUFP201516 | 2788121 | 1.49 | FPC | AACCV8509Q |
| 2 | IN300054 | 10095875 | VALIANT MAURITIUS PARTNERS LIMITED | 4TH FLOOR 19 BANK STREET CYBERCITY EBENE | MAURITIUS | INMUFP201416 | 1319047 | 0.70 | FPC | AACCV8506B |
| 3 | IN303438 | 10004305 | FRANKLIN TEMPLETON INVESTMENT FUNDS | 8A RUE ALBERT BORSCHETTE | LUXEMBOURG | INLUF323416 | 1286131 | 0.69 | FPC | AABCT5310J |
| 4 | IN300167 | 10000254 | GOVERNMENT OF SINGAPORE | 168 Robinson Road 37-01 Capital Tower | SINGAPORE | INSGFP239316 | 1062199 | 0.57 | FPC | AAAJG0594R |
| 5 | IN300167 | 10137695 | CAISSE DE DEPOT ET PLACEMENT DU QUEBEC-ENAM ASSET MANAGEMENT | 1000 PLACE JEAN- PAUL- RIOPELLE MONTREAL QUEBEC | CANADA | INCAFP156416 | 1000000 | 0.53 | FPC | AADCC1811B |
| 6 | IN300054 | 10098167 | TIMF HOLDINGS | C/o Multiconsult Limited Les Cascades Building Edith Cavell Street Port Louis | MAURITIUS | INMUFP000914 | 994198 | 0.53 | FPC | AAFCT0480M |
| 7 | IN300054 | 10095906 | VALIANT INDIA OPPORTUNITIES LTD | 4th Floor 19 Bank Street Cybercity Ebene 72201 | MAURITIUS | INMUFP229015 | 841098 | 0.45 | FPC | AAFV2404D |
| 8 | IN300167 | 10141415 | KAPITALFORENINGEN INVESTIN PRO, DALTON AKTIER ASIE N EX JAPAN | CO NYKREDIT PORTEFOELJE ADMINISTRATION AS OTTO MOENSTEDS PLADS 9 COPENHAGEN V | DENMARK | INDNFP069317 | 839414 | 0.45 | FPC | AACCI8527R |
| 9 | IN303438 | 10016654 | VANGUARD EMERGING MARKETS STOCK INDEX FUND, A SERI ES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS | 100 VANGUARD BOULEVARD MALVERN PA | UNITED STATES OF AMERICA | INUSFP068617 | 743253 | 0.40 | FPC | AAATY0918K |
| 10 | IN300054 | 10105077 | ISHARES CORE EMERGING MARKETS MAURITIUS CO | INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTY EIGHT CYBERCITY EBENE MAURITIUS | MAURITIUS | INMUFP052015 | 675308 | 0.36 | FPC | AADCI1923N |
| 11 | IN300167 | 10039972 | WELLS FARGO EMERGING MARKETS EQUITY FUND | 525 MARKET STREET 12TH FLOOR SAN FRANCISCO CALIFORNIA | UNITED STATES OF AMERICA | INUSFP174017 | 624658 | 0.33 | FPC | AAATE2686E |
| 12 | IN303438 | 10003257 | VANGUARD TOTAL INTERNATIONAL STOCK INDEX FUND | 100 VANGUARD BOULEVARD MALVERN PA | UNITED STATES OF AMERICA | INUSFP101917 | 612068 | 0.33 | FPC | AABTV0442N |
| 13 | IN303173 | 20207743 | LONG TERM INDIA FUND | 4TH FLOOR 19 BANK STREET CYBERCITY EBENE | MAURITIUS | INMUFP211715 | 600000 | 0.32 | FPC | AABCL9763H |
| 14 | IN300054 | 10054065 | KUWAIT INVESTMENT AUTHORITY FUND 223 | Ministries Complex Building No 3 Floor No 2 PO Box no 64 safat | KUWAIT | INKUFP146117 | 569955 | 0.30 | FPC | AAATK3858Q |
| 15 | IN303438 | 10019613 | FRANKLIN TEMPLETON INVESTMENT FUNDS - TEMPLETON AS IAN SMALLER COMPANIES FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INLUF079319 | 449945 | 0.24 | FPC | AADC8545P |
| 16 | IN303719 | 11058097 | SG JOKALAND HOLDINGS LLC | 251 LITTLE FALLS DRIVE, WILMINGTON DELAWARE | INDIA | INUSFP035119 | 440648 | 0.24 | FPC | ABBCS5092B |

| | | | | | | | | | | |
|----|----------|----------|--|--|--------------------------|--------------|--------|------|-----|------------|
| 17 | IN303438 | 10005898 | ABU DHABI INVESTMENT AUTHORITY - BEHAVE | 211 CORNICHE STREET PO BOX 3600 ABU DHABI | UNITED ARAB EMIRATES | INUEFP010117 | 440000 | 0.24 | FPC | AAACA4380N |
| 18 | IN303719 | 11077047 | ARJUNA FUND PTE. LTD. | 83 TRAS STREET SINGAPORE | SINGAPORE | INSGFP159617 | 421415 | 0.23 | FPC | AAPCA2639B |
| 19 | IN300054 | 10013410 | EMERGING MARKETS CORE EQUITY PORTFOLIO (THE PORTFOLIO) OF DFA INVESTMENT DIMENSIONS GROUP INC. (DFAI DG) | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP031416 | 410015 | 0.22 | FPC | AACCD1644G |
| 20 | IN300054 | 10076881 | GOVERNMENT PENSION FUND GLOBAL | Bankplassen 2 P O Box 1179 Sentrum Oslo 0107 | NORWAY | INNOFP130516 | 386872 | 0.21 | FPC | AACCN1454E |
| 21 | IN303438 | 10004432 | TEMPLETON GLOBAL INVESTMENT TRUST - TEMPLETONEMERGING MARKETS SMALL CAP FUND | 300 SE 2ND STREET FORT LAUDERDALE FLORIDA | UNITED STATES OF AMERICA | INUSFP053317 | 349776 | 0.19 | FPC | AABTT3119N |
| 22 | IN300054 | 10089418 | DALTON INVESTMENTS TRUST | c/o The Northern Trust Company of Delaware 1201 Market Street Suite 1202 Wilmington Delaware | UNITED STATES OF AMERICA | INUSFP075816 | 325683 | 0.17 | FPC | AACTD3561H |
| 23 | IN300054 | 10040054 | DIMENSIONAL EMERGING MARKETS VALUE FUND | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP033716 | 284948 | 0.15 | FPC | AACCD1578M |
| 24 | IN303173 | 20027805 | BLUE LOTUS INVESTMENT FUND | Fifth Floor Ebene Esplanade 24 Bank Street Cybercity Ebene | MAURITIUS | INMUFP257415 | 268674 | 0.14 | FPC | AAGCB5049P |
| 25 | IN300054 | 10029037 | MIRAE ASSET ASIA SECTOR LEADER EQUITY FUND | 31 ZA Bourmicht L-8070 Bertrange Luxembourg | LUXEMBOURG | INLUF211716 | 267496 | 0.14 | FPC | AAFCM7659F |
| 26 | IN303438 | 10019517 | FRANKLIN TEMPLETON INVESTMENT FUNDS - TEMPLETON BRIC FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INLUF076019 | 256484 | 0.14 | FPC | AADCF8400H |
| 27 | IN301799 | 10082244 | UNISUPER LIMITED AS TRUSTEE FOR UNISUPER | Level1 385 Bourke Street Melbourne | AUSTRALIA | INAUF082717 | 251781 | 0.13 | FPC | AABFU9541M |
| 28 | IN300167 | 10063503 | SAMSUNG INDIA SECURITIES MASTER INVESTMENT TRUST EQUITY | (NAMDAEMUNNO2(I)-GA) 84 NAMDAEMUN-RO JUNG-GU SEOUL KOREA | SOUTH KOREA | INSKFP048917 | 250000 | 0.13 | FPC | AAITS4613F |
| 29 | IN300054 | 10013268 | THE EMERGING MARKETS SMALL CAP SERIES OF THE DFA INVESTMENT TRUST COMPANY | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP033916 | 249218 | 0.13 | FPC | AAATD7768G |
| 30 | IN300167 | 10000246 | MONETARY AUTHORITY OF SINGAPORE | 10 SHENTON WAY MAS BUILDING | SINGAPORE | INSGFP239416 | 226065 | 0.12 | FPC | AAJCM3008N |
| 31 | IN303438 | 10016687 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX NON-LENDABLE FUND | 400 HOWARD STREET SAN FRANCISCO CA 94105 | UNITED STATES OF AMERICA | INUSFP172617 | 224750 | 0.12 | FPC | AAAAE3545P |
| 32 | IN300054 | 10019076 | MANULIFE GLOBAL FUND - INDIA EQUITY FUND | ATRIUM BUSINESS PARK 31 Z A BOURMICHT | LUXEMBOURG | INLUF218315 | 221909 | 0.12 | FPC | AAAAM7921M |
| 33 | IN301799 | 10088827 | BNP PARIBAS ARBITRAGE - ODI | BNP PARIBAS HOUSE 1 NORTH AVENUE, MAKER MAXITY BANDRA KURLA COMPLEX, BANDRA EAST MUMBAI | INDIA | INFRFP006620 | 216669 | 0.12 | FPC | AAGFB5324G |
| 34 | IN300054 | 10029045 | MIRAE ASSET INDIA SECTOR LEADER EQUITY FUND | 31 ZA Bourmicht L-8070 Bertrange Luxembourg | LUXEMBOURG | INLUF211816 | 197690 | 0.11 | FPC | AAFCM7657M |

| | | | | | | | | | | |
|----|----------|----------|--|---|--------------------------|--------------|--------|------|-----|------------|
| 35 | IN303438 | 10020249 | VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST II | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INUSFP012120 | 185604 | 0.10 | FPC | AADTV1640C |
| 36 | IN300054 | 10069796 | ASHOKA PTE LTD | 83 Tras Street 83 Tras Street | SINGAPORE | INSGFP150316 | 180430 | 0.10 | FPC | AAKCA5986C |
| 37 | IN303438 | 10010544 | THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE FOR GOVERNMENT PENSION INVESTMENT FUND MTBJ400045836 | 11-3 HAMAMATSUCHO 2-CHOME MINATO-KU TOKYO 105-8579 | JAPAN | INJFP021415 | 168100 | 0.09 | FPC | AACTT0962A |
| 38 | IN303438 | 10017116 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND | 400 HOWARD STREET SAN FRANCISCO CA 94105 | UNITED STATES OF AMERICA | INUSFP176217 | 166855 | 0.09 | FPC | AAAAE5365K |
| 39 | IN301524 | 30048208 | ABSL UMBRELLA UCITS FUND PLC - INDIA FRONTLINE EQUITY FUND | RIVERSIDE ONE SIR JOHN ROGERSONS QUAY DUBLIN | IRELAND | INIRFP091217 | 165496 | 0.09 | FPC | AAFAA3922M |
| 40 | IN300167 | 10091315 | FLORIDA RETIREMENT SYSTEM - WELLS CAPITAL MANAGEMENT INC | 1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA | UNITED STATES OF AMERICA | INUSFP145516 | 164252 | 0.09 | FPC | AAAJF0103H |
| 41 | IN300054 | 10105204 | ISHARES CORE MSCI EM IMI UCITS ETF | JP MORGAN HOUSE IFSC DUBLIN 1 | IRELAND | INIRFP073415 | 140920 | 0.08 | FPC | AADC17836E |
| 42 | IN300167 | 10144241 | RESOLUTE FOREIGN EQUITY MASTER TRUST FUND | 111 DUKE STREET SUITE 5000 MONTREAL | CANADA | INCAFP192217 | 140713 | 0.08 | FPC | AADTR1294C |
| 43 | IN300054 | 10104453 | ISHARES INDIA SC MAURITIUS COMPANY | IFS COURT TWENTYEIGHT CYBERCITY EBENE MAURITIUS | MAURITIUS | INMUF050315 | 135676 | 0.07 | FPC | AACCI7987F |
| 44 | IN301524 | 30051010 | GOLDMAN SACHS (SINGAPORE) PTE. - ODI | STANDARD CHARTERED BANK SECURITIES SERVICES OPERATIONS 3RD FLOOR, 23-25, M.G ROAD FORT, MUMBAI, INDIA | INDIA | INSGFP097519 | 110613 | 0.06 | FPC | AAFCG0345N |
| 45 | IN300142 | 10764361 | VANDERBILT UNIVERSITY - FLOWERING TREE INVESTMENT MANAGEMENT PTE. LTD. | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | INDIA | INUSFP079918 | 105330 | 0.06 | FPC | AAAAV5194R |
| 46 | IN300167 | 10032725 | CALIFORNIA PUBLIC EMPLOYEES' RETIREMENT SYSTEM | 400 Q STREET LPE ROOM 4800 SACRAMENTO CA | UNITED STATES OF AMERICA | INUSFP111015 | 101580 | 0.05 | FPC | AAATC6038J |
| 47 | IN300167 | 10032807 | VANGUARD FTSE ALL-WORLD EX-US INDEX FUND, A SERIES OF VANGUARD INTERNATIONAL EQUITY INDEX FUNDS | 100 VANGUARD BOULEVARD MALVERN PA | UNITED STATES OF AMERICA | INUSFP072417 | 98138 | 0.05 | FPC | AAATV8899E |
| 48 | IN303786 | 10007557 | SOCIETE GENERALE - ODI | SBI-SG GLOBAL SECURITIES SERVICES PL JEEVAN SEVA EXTENSION BUILDING GROUND FLOOR S V ROAD SANTACRUZ WEST MUMBAI | INDIA | INFRFP100119 | 97810 | 0.05 | FPC | AABCS7484C |
| 49 | IN300054 | 10079208 | DALTON INDIA (MASTER) FUND LP | co Appleby Trust Cayman Ltd clifton House 75 Fort Street Grand Cayman Cayman Islands | CAYMAN ISLANDS | INCYFP039315 | 97429 | 0.05 | FPC | AAKFD1091Q |

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| 50 | IN300167 | 10080770 | STATE STREET GLOBAL SMALL CAP EQUITY EX-U.S. INDEX NON-LENDING SERIES FUND | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP023316 | 94791 | 0.05 FPC | AAJTS4147D |
| 51 | IN300054 | 10004986 | LEGAL AND GENERAL ASSURANCE (PENSION MANAGEMENT) LIMITED | One Coleman Street London | UNITED KINGDOM | INUKFP115617 | 89752 | 0.05 FPC | AABCL0025A |
| 52 | IN300054 | 10106551 | MONETARY AUTHORITY OF SINGAPORE ACCOUNT 6 | 10 SHENTON WAY MAS BUILDING SINGAPORE | SINGAPORE | INSGFP162917 | 83000 | 0.04 FPC | AAJCM3008N |
| 53 | IN300054 | 10019210 | MANULIFE GLOBAL FUND - ASIAN SMALL CAP EQUITY FUND | ATRIUM BUSINESS PARK 31 Z A BOURMICHT | LUXEMBOURG | INLUFP218415 | 82940 | 0.04 FPC | AABTM7816P |
| 54 | IN303438 | 10019576 | FRANKLIN TEMPLETON INVESTMENT FUNDS - TEMPLETON EMERGING MARKETS SMALLER COMPANIES FUND | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INLUFP077719 | 81000 | 0.04 FPC | AADCF8467C |
| 55 | IN300142 | 10679066 | THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE OF HSBC INDIA INFRASTRUCTURE EQUITY MOTHER FUND | 2-11-3 HAMAMATSUCHO MINATO-KU TOKYO 105-8579 | JAPAN | INJFP042914 | 80000 | 0.04 FPC | AAATH8110L |
| 56 | IN300054 | 10085015 | NORTHERN TRUST COLLECTIVE EMERGING MARKETS SMALL CAP INDEX FUND- NON LENDING | 50 S LaSalle Street Chicago Illinois | UNITED STATES OF AMERICA | INUSFP095215 | 77049 | 0.04 FPC | AACTN1585B |
| 57 | IN300142 | 10591099 | MIRAE ASSET INDIA BLUE CHIP EQUITY MASTER INVESTMENT TRUST | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP036216 | 76867 | 0.04 FPC | AABTM6718L |
| 58 | IN300167 | 10030167 | CITY OF NEW YORK GROUP TRUST | ONE CENTRE STREET NEW YORK NY 10007 2341 | UNITED STATES OF AMERICA | INUSFP213516 | 75824 | 0.04 FPC | AAATC8419K |
| 59 | IN300167 | 10146165 | THE REGENTS OF THE UNIVERSITY OF CALIFORNIA - STATE STREET GLOBAL ADVISORS | 1111 BROADWAY SUITE 2100 OAKLAND CA | | INUSFP242917 | 71078 | 0.04 FPC | AADCT1007C |
| 60 | IN300167 | 10068038 | SCHWAB EMERGING MARKETS EQUITY ETF | 211 MAIN STREET SAN FRANCISCO CA | UNITED STATES OF AMERICA | INUSFP200617 | 61713 | 0.03 FPC | AAITS7338F |
| 61 | IN300054 | 10019365 | MIRAEASSET KORCHINDIA FOCUS 7 EQUITY INVESTMENT TRUST NO.1 | East Tower 26F Mirae Asset CENTER1 67 Suha dong Jung gu Seoul Korea | SOUTH KOREA | INSKFP058516 | 61401 | 0.03 FPC | AABTM7920Q |
| 62 | IN300142 | 10573310 | MIRAE ASSET INDIA SOLOMON EQUITY INVESTMENT TRUST 1 | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP036616 | 58094 | 0.03 FPC | AABTM5928Q |
| 63 | IN303438 | 10015590 | QANTAS SUPERANNUATION PLAN | 10 BOURKE ROAD MASCOT NSW | AUSTRALIA | INAUF001117 | 57755 | 0.03 FPC | AAATQ0378D |
| 64 | IN300167 | 10049693 | COLLEGE RETIREMENT EQUITIES FUND - STOCK ACCOUNT | 730 THIRD AVENUE NEW YORK NEW YORK | UNITED STATES OF AMERICA | INUSFP083617 | 57028 | 0.03 FPC | AAACC9040K |
| 65 | IN300167 | 10045319 | SPDR S AND P EMERGING MARKETS SMALL CAP ETF | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP131616 | 51898 | 0.03 FPC | AAHTS4805N |
| 66 | IN300142 | 20770381 | WELLS FARGO (LUX) WORLDWIDE FUND - EMERGING MARKETS EQUITY FUND | 80 route d'Esch L-1470 | LUXEMBOURG | INLUFP156215 | 50724 | 0.03 FPC | AABCW3047P |
| 67 | IN300054 | 10086325 | GENERAL ORGANIZATION FOR SOCIAL INSURANCE | PO BOX 2963 KING ABDULAZIZ ROAD RIYADH | SAUDI ARABIA | INSDFP089915 | 50706 | 0.03 FPC | AAAGG0503K |

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| 68 | IN300142 | 10565580 | MIRAE ASSET INDIA DISCOVERY EQUITY INVESTMENT TRUS T 1 | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP036516 | 46856 | 0.03 | FPC | AABTM5264F |
| 69 | IN300054 | 10041289 | EMERGING MARKETS FUND | 1350 Avenue of the Americas 33rd Floor New York NY | UNITED STATES OF AMERICA | INUSFP180516 | 43556 | 0.02 | FPC | AABTG4787H |
| 70 | IN300167 | 10046959 | MANAGED PENSION FUNDS LIMITED | 20 CHURCHILL PLACE CANARY WHARF LONDON | UNITED KINGDOM | INUKFP133617 | 42656 | 0.02 | FPC | AAFCSM5744B |
| 71 | IN300142 | 20771052 | FUNDPARTNER SOLUTIONS (SUISSE) SA - MIGROS-PENSION SKASSE FONDS - AKTIEN WELT | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | INDIA | INCHFP091419 | 41848 | 0.02 | FPC | AAECF0443R |
| 72 | IN300167 | 10055675 | STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX NON-LENDING COMMON TRUST FUND | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP022816 | 39434 | 0.02 | FPC | AAITS0342K |
| 73 | IN300054 | 10085777 | EXCEL FUNDS MAURITIUS COMPANY LTD | IFS Court Twenty Eight Cybercity Ebene | MAURITIUS | INMUFP125215 | 38740 | 0.02 | FPC | AADCE9744R |
| 74 | IN300167 | 10039739 | STATE STREET EMERGING MARKETS SMALL CAP ACTIVE NON-LENDING QIB COMMON TRUST FUND | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP034816 | 36308 | 0.02 | FPC | AAHTS0174J |
| 75 | IN303438 | 10006506 | ROYAL MAIL PENSION PLAN | 2ND FLOOR 11 IRONMONGER LANE LONDON | UNITED KINGDOM | INUKFP133017 | 34568 | 0.02 | FPC | AAATR8312C |
| 76 | IN300167 | 10149920 | RESOLUTE FP US MASTER TRUST | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP036818 | 34470 | 0.02 | FPC | AADTR1239B |
| 77 | IN300167 | 10161102 | CALIFORNIA STATE TEACHERS RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT, LLC 1 | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP000920 | 30919 | 0.02 | FPC | AAFFC0559D |
| 78 | IN301524 | 30048216 | ABSL UMBRELLA UCITS FUND PLC - INDIA QUALITY ADVANTAGE FUND | RIVERSIDE ONE SIR JOHN ROGERSONS QUAY DUBLIN | IRELAND | INIRFP091417 | 30080 | 0.02 | FPC | AAFAA3924P |
| 79 | IN300054 | 10029004 | MIRAE ASSET ASIA PACIFIC EQUITY FUND | 31 ZA Bourmicht L-8070 Bertrange Luxembourg | LUXEMBOURG | INLUF211616 | 29103 | 0.02 | FPC | AAFCM7658E |
| 80 | IN300054 | 10112671 | REKSA DANA SYARIAH MANULIFE SAHAM SYARIAH GOLDEN ASIA DOLAR AS | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INIDFP008021 | 29005 | 0.02 | FPC | AAKCR7805F |
| 81 | IN300167 | 10140891 | BLACKROCK COLLECTIVE INVESTMENT FUNDS - ISHARES EMERGING MARKETS EQUITY INDEX FUND (UK) | 12 THROGMORTON AVENUE LONDON | UNITED KINGDOM | INUKFP336316 | 28871 | 0.02 | FPC | AADTB8741N |
| 82 | IN300142 | 10644347 | MIRAE ASSET RETIREMENT PLAN ASIA PACIFIC EQUITY MASTER INVESTMENT TRUST | 120 2GA TAEPYUNG-RO JUNG-GU SEOUL | SOUTH KOREA | INSKFP057016 | 28128 | 0.02 | FPC | AACTM0811D |
| 83 | IN300054 | 10016463 | NIKKO ASSET MANAGEMENT (MAURITIUS) LTD | IFS Court Bank Street Twenty Eight Cybercity Ebene | MAURITIUS | INMUFP085216 | 28000 | 0.01 | FPC | AACCN2555Q |

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| 84 | IN303438 | 10015452 | PUB EQUITIES EMERGING MARKETS 1 | UETLIBERGSTRASSE 231 ZURICH | SWITZERLAND | INCHFP001517 | 27914 | 0.01 FPC | AACTP9572E |
| 85 | IN300167 | 10100587 | EMERGING MARKETS VALUE FUND OF DIMENSIONAL FUNDS PLC | 25/28 NORTH WALL QUAY DUBLIN 1 | IRELAND | INIRFP027616 | 26475 | 0.01 FPC | AAAAE2450P |
| 86 | IN300167 | 10019557 | CALSTRS MANAGED BY STATE STREET GLOBAL ADVISORS | 100 WATERFRONT PLACE WEST SACRAMENTO CA | UNITED STATES OF AMERICA | INUSFP112717 | 26201 | 0.01 FPC | AAFFC0559D |
| 87 | IN300054 | 10104429 | ISHARES EMSC MAURITIUS CO | INTERNATIONAL FINANCIAL SERVICES IFS COURT TWENTYEIGHT CYBERCITY EBENE | MAURITIUS | INMUF051915 | 25465 | 0.01 FPC | AACCI5902Q |
| 88 | IN300054 | 10111189 | INDIA ADVANTAGE FUND LIMITED | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INMUF025720 | 25437 | 0.01 FPC | AACCI2611J |
| 89 | IN303438 | 10014327 | BVK PERSONALVORSORGE DES KANTONS ZURICH | STAMPFENBACHSTRASSE 63 8006 ZURICH | SWITZERLAND | INCHFP113016 | 25260 | 0.01 FPC | AACTB5009N |
| 90 | IN300167 | 10110401 | SAMSUNG EMERGING ASIA SECURITIES MASTER INVESTMENT TRUST (EQUITY) | (NAMDAEMUNNO2(I)-GA) 84 NAMDAEMUN-RO JUNG-GU SEOUL KOREA | SOUTH KOREA | INSKFP049117 | 25000 | 0.01 FPC | AAITS7337L |
| 91 | IN300054 | 10026125 | COLONIAL FIRST STATE INVESTMENTS LIMITED AS RESPONSIBLE ENTITY FOR COMMONWEALTH EMERGING MARKETS FUND 4 | 11 Harbour Street Sydney New South wales | AUSTRALIA | INAUFP078217 | 24646 | 0.01 FPC | AAATC9908M |
| 92 | IN300142 | 10722580 | THE MASTER TRUST BANK OF JAPAN, LTD. AS TRUSTEE OF HONDA PENSION FUND MUTB400038099 | 2-11-3 HAMAMATSUCHO MINATO- KU TOKYO 105-8579 | JAPAN | INJFP074014 | 24136 | 0.01 FPC | AACTT1199B |
| 93 | IN300054 | 10105132 | ISHARES MSCI EM SMALL CAP UCITS ETF | JP MORGAN HOUSE IFSC DUBLIN 1 | IRELAND | INIRFP257116 | 23901 | 0.01 FPC | AADC13486J |
| 94 | IN300054 | 10090210 | FIDELITY SALEM STREET TRUST FIDELITY TOTAL INTERNATIONAL INDEX FUND | 245 Summer Street Boston Massachusetts | UNITED STATES OF AMERICA | INUSFP150116 | 23611 | 0.01 FPC | AAATF5391H |
| 95 | IN300054 | 10074905 | WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP031716 | 23498 | 0.01 FPC | AABCW4402G |
| 96 | IN303438 | 10015477 | PUB EQUITIES EMERGING MARKETS 2 | UETLIBERGSTRASSE 231 ZURICH | SWITZERLAND | INCHFP001417 | 23412 | 0.01 FPC | AACTP9573F |
| 97 | IN303438 | 10012152 | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF OHIO | 277 EAST TOWN STREET COLUMBUS OH 43215 USA | UNITED STATES OF AMERICA | INUSFP130016 | 23094 | 0.01 FPC | AAATP5186E |
| 98 | IN300054 | 10025384 | T.A. WORLD EX U.S. CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP031616 | 22775 | 0.01 FPC | AACCT9049F |
| 99 | IN303438 | 10017868 | ROBECO CAPITAL GROWTH FUNDS | 11-13 BOULEVARD DE LA FOIRE L 1528 | LUXEMBOURG | INLUF0233015 | 22406 | 0.01 FPC | AABCR7831A |
| 100 | IN303438 | 10013434 | GOVERNMENT PENSION FUND | 990 RAMA IV RD 4TH FLOOR SILOM BANGRAK BANGKOK | THAILAND | INTHFP145915 | 21800 | 0.01 FPC | AAAJG2016L |
| 101 | IN303438 | 10018480 | VANGUARD FIDUCIARY TRUST COMPANY INSTITUTIONAL TOTAL INTERNATIONAL STOCK MARKET INDEX TRUST | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INUSFP022719 | 21693 | 0.01 FPC | AACTV9551H |

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| 102 | IN300142 | 10751487 | THE SULTANATE OF OMAN MINISTRY OF DEFENCE PENSION FUND | PO BOX 79 RUWI PC 122 | OMAN | INOMFP222316 | 21643 | 0.01 FPC | AAOAS1206M |
| 103 | IN300167 | 10047132 | STATE STREET MSCI EMERGING MARKETS SMALL CAP INDEX SECURITIES LENDING FUND | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP022916 | 21569 | 0.01 FPC | AAHTS6002P |
| 104 | IN303438 | 10016679 | EMERGING MARKETS SMALL CAPITALIZATION EQUITY INDEX FUND B | 400 HOWARD STREET SAN FRANCISCO CA 94105 | UNITED STATES OF AMERICA | INUSFP172517 | 18672 | 0.01 FPC | AAAAE3543M |
| 105 | IN300142 | 20770700 | VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD F TSE EMERGING MARKETS UCITS ETF | 70 SIR JOHN ROGERSON'S QUAY DUBLIN | IRELAND | INIRFP072617 | 18636 | 0.01 FPC | AAECV0884E |
| 106 | IN300167 | 10021055 | ALASKA PERMANENT FUND | 801 WEST 10TH STREET JUNEAU ALASKA | UNITED STATES OF AMERICA | INUSFP117816 | 18518 | 0.01 FPC | AADTA7800K |
| 107 | IN300167 | 10131681 | FLORIDA RETIREMENT SYSTEM - AQR CAPITAL MANAGEMENT , LLC | 1801 HERMITAGE BLVD SUITE 100 TALLAHASSEE FLORIDA | UNITED STATES OF AMERICA | INUSFP207315 | 18182 | 0.01 FPC | AAAJF0103H |
| 108 | IN300142 | 10586891 | MIRAE ASSET MEISTER INDIA EQUITY MASTER INVESTMENT TRUST | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP036416 | 17676 | 0.01 FPC | AABTM6788Q |
| 109 | IN300054 | 10109545 | BOFA SECURITIES EUROPE SA - ODI | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INFRFP097319 | 17500 | 0.01 FPC | AAICB3737G |
| 110 | IN300167 | 10100511 | EMERGING MARKETS TARGETED VALUE FUND OF THE DIMENSIONAL FUNDS II PUBLIC LIMITED COMPANY | 25/28 NORTH WALL QUAY DUBLIN I | IRELAND | INIRFP027716 | 17215 | 0.01 FPC | AABCE7501L |
| 111 | IN300167 | 10156689 | EMERGING MARKETS CORE EQUITY FUND OF DIMENSIONAL FUNDS ICVC | 20 Triton Street Regents Place London NW1 3BF U K | UNITED KINGDOM | INUKFP032216 | 17031 | 0.01 FPC | AACCD1506B |
| 112 | IN300167 | 10047358 | VANGUARD TOTAL WORLD STOCK INDEX FUND | 100 VANGUARD BOULEVARD MALVERN PA | UNITED STATES OF AMERICA | INUSFP067417 | 16928 | 0.01 FPC | AABTV0279R |
| 113 | IN301799 | 10086198 | UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF BAYVK A3-FONDS | THEODOR-HEUSS-ALLE 70 60486 FRANKFURT AM MAIN | | INGEFP014018 | 16820 | 0.01 FPC | AABAU1793L |
| 114 | IN300054 | 10106088 | ISHARES IV PUBLIC LIMITED COMPANY- ISHARES MSCI EM IMI ESG SCREENED UCITS ETF | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INIRFP068018 | 16233 | 0.01 FPC | AAFCI0124F |
| 115 | IN301799 | 10078588 | BNP PARIBAS TRUST SERVICES SINGAPORE LIMITED AS TRUSTEE OF NIKKO AM SHENTON EMERGING ENTERPRISE DISCOVERY FUND | 20 Collyer Quay 01-01 Singapore 049319 | SINGAPORE | INSGFP164316 | 15859 | 0.01 FPC | AAFTS5949F |
| 116 | IN303438 | 10006932 | STICHTING SHELL PENSIOENFONDS | BOGAARDPLEIN 41 RIJSWIJK ZH | NETHERLANDS | INNLP023317 | 15136 | 0.01 FPC | AACTS7576B |
| 117 | IN300167 | 10162041 | MERCER EMERGING MARKETS FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INCAFP014820 | 14859 | 0.01 FPC | AAGTM2989J |
| 118 | IN300054 | 10013250 | THE EMERGING MARKETS SERIES OF THE DFA INVESTMENT TRUST COMPANY | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP033816 | 14752 | 0.01 FPC | AAATD7822R |

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| 119 | IN300054 | 10112315 | INTERNATIONAL MONETARY FUND - MANAGER A | 700 19TH STREET NW WASHINGTON DC | UNITED STATES OF AMERICA | INUSFP287816 | 13682 | 0.01 FPC | EXEMPTCATG |
| 120 | IN300167 | 10159933 | AMERICAN CENTURY ETF TRUST-AVANTIS EMERGING MARKET S EQUITY ETF | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP083219 | 13653 | 0.01 FPC | AAHTA5157H |
| 121 | IN300054 | 10084844 | QUEENSLAND INVESTMENT TRUST NO 2 | Level 5 66 Eagle Street Brisbane | AUSTRALIA | INAUFP071216 | 13056 | 0.01 FPC | AAATQ0268L |
| 122 | IN300142 | 20771487 | NIS INDIAN EQUITY ALPHA STRATEGIES FUND LTD MANAGED BY BANK PICTET & CIE (ASIA) LTD | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | INDIA | INVIFP017820 | 13000 | 0.01 FPC | AAFCN8514L |
| 123 | IN300167 | 10124393 | MERCER QIF FUND PLC- MERCER INVESTMENT FUND 1 | 70 SIR JOHN ROGERSON'S QUAY DUBLIN 2 | IRELAND | INIRFP033015 | 12443 | 0.01 FPC | AAJCM5945N |
| 124 | IN300167 | 10110740 | STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS SRI ENHANCED EQUITY FUND | 49 AVENUE JF KENNEDY LUXEMBOURG | LUXEMBOURG | INLUFP240616 | 12119 | 0.01 FPC | AASCS7699Q |
| 125 | IN303173 | 20003074 | PROUTY INDIA FUND LIMITED | C/o Cim Fund Services Ltd 33 Edith Cavell Street Port Louis | MAURITIUS | INMUFP062616 | 12000 | 0.01 FPC | AAFCP6921R |
| 126 | IN300167 | 10121383 | SSGA SPDR ETFS EUROPE I PUBLIC LIMITED COMPANY - S PDR MSCI EMERGING MARKETS SMALL CAP UCITS ETF | 78 SIR JOHN ROGERSONS QUAY DUBLIN 2 | IRELAND | INIRFP026114 | 11981 | 0.01 FPC | AAUCS9527P |
| 127 | IN300167 | 10078107 | UPS GROUP TRUST | 55 GLENLAKE PKWY NE ATLANTA GEORGIA | UNITED STATES OF AMERICA | INUSFP013716 | 11911 | 0.01 FPC | AAATU3821D |
| 128 | IN300167 | 10109545 | MGI EMERGING MARKETS EQUITY FUND-DIMENSIONAL FUND ADVISORS LTD. | 70 SIR JOHN ROGERSONS QUAY DUBLIN | IRELAND | INIRFP300816 | 11673 | 0.01 FPC | AAHCM6849F |
| 129 | IN301799 | 10083534 | INDIA AVENUE EQUITY FUND | LEVEL 2 575 BOURKE STREET MELBOURNE AUSTRALIA | AUSTRALIA | INAUFP177516 | 11538 | 0.01 FPC | AABTI3338L |
| 130 | IN300054 | 10032536 | CITY OF LOS ANGELES FIRE AND POLICE PENSION PLAN | 360 E Second Street Suite 600 Los Angeles CA | | INUSFP065214 | 11274 | 0.01 FPC | AABTC0471C |
| 131 | IN300054 | 10017712 | EMERGING MARKETS SOCIAL CORE EQUITY PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP031516 | 11213 | 0.01 FPC | AABCE6264C |
| 132 | IN300054 | 10067527 | DFA AUSTRALIA LIMITED AS RESPONSIBLE ENTITY FOR DIMENSIONAL EMERGING MARKETS TRUST | Level 43 1 Macquarie Place Sydney NSW | AUSTRALIA | INAUFP032116 | 11203 | 0.01 FPC | AABTD2064N |
| 133 | IN303786 | 10000836 | SOCIETE GENERALE | 29 BOULEVARD HAUSSMANN PARIS | FRANCE | INFRFP020917 | 11051 | 0.01 FPC | AABCS7484C |
| 134 | IN301524 | 30049717 | DFA INTERNATIONAL CORE EQUITY FUND | SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA | CANADA | INCAFP268915 | 11007 | 0.01 FPC | AABTD3438N |
| 135 | IN300167 | 10121650 | PEOPLE'S BANK OF CHINA | NO 32 CHENGFANG STREET XICHENG DISTRICT BEIJING | CHINA | INCNFP043014 | 10486 | 0.01 FPC | AAAJP1319B |

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| 136 | IN300054 | 10041602 | LEGAL & GENERAL GLOBAL EMERGING MARKETS INDEX FUND | 50 Bank Street London | UNITED KINGDOM | INUKFP217616 | 10474 | 0.01 FPC | AABTT8331L |
| 137 | IN300054 | 10038402 | HSBC INDIAN EQUITY FUND | 3rd Floor 885 West Georgia Street Vancouver British Columbia | CANADA | INCAFP020617 | 10000 | 0.01 FPC | AAATH8140A |
| 138 | IN300142 | 10702444 | MANULIFE ASIA PACIFIC MID & SMALL CAP FUND | 6F NO 89 SUNGREN ROAD TAIPEI 11073 TAIWAN ROC | TAIWAN | INTWFP165116 | 10000 | 0.01 FPC | AACTM6688G |
| 139 | IN300054 | 10023614 | UTAH STATE RETIREMENT SYSTEMS | 540 East 200 South Salt Lake City Utah | UNITED STATES OF AMERICA | INUSFP271916 | 9978 | 0.01 FPC | AAATU2901Q |
| 140 | IN303438 | 10020925 | UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF UI-E | JPMORGAN CHASE BANK N.A., INDIA SUB CUSTODY, 6th FLOOR, PARADIGM B, MINDSPACE, MALAD W, MUMBAI | INDIA | INGEFP071420 | 9970 | 0.01 FPC | AABAU6913G |
| 141 | IN300054 | 10074841 | WORLD EX U.S. TARGETED VALUE PORTFOLIO OF DFA INVESTMENT DIMENSIONS GROUP INC. | 6300 Bee Cave Road Building One Austin Texas 78746 USA | UNITED STATES OF AMERICA | INUSFP021616 | 9678 | 0.01 FPC | AABCW4401F |
| 142 | IN300054 | 10100216 | EMERGING MARKETS TARGETED VALUE PORTFOLIO | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INUSFP073518 | 9115 | 0.00 FPC | AAFCE2416E |
| 143 | IN300167 | 10153025 | STATE STREET GLOBAL ADVISORS LUXEMBOURG SICAV - STATE STREET EMERGING MARKETS SMALL CAP EQUITY FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INLUF077618 | 8421 | 0.00 FPC | ABACS4237P |
| 144 | IN300142 | 10606229 | MIRAE ASSET ASIA PACIFIC SECTOR LEADER EQUITY INVESTMENT TRUST NO. 1 | 120 2FA TAEPYUNG-RO JUNG-GU SEOUL | SOUTH KOREA | INSKFP056716 | 8304 | 0.00 FPC | AABTM8159P |
| 145 | IN300167 | 10128284 | STATE STREET GLOBAL ALL CAP EQUITY EX-US INDEX PORTFOLIO | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP143615 | 8304 | 0.00 FPC | AAPTS4453E |
| 146 | IN300054 | 10037425 | MIRAE ASSET INDIA SECTOR LEADER EQUITY MASTER INVESTMENT TRUST NO.2 | East Tower 26F Mirae Asset CENTER1 67 Suha dong Jung gu Seoul Korea | SOUTH KOREA | INSKFP058816 | 8097 | 0.00 FPC | AACTM3753E |
| 147 | IN303438 | 10006941 | SHELL PENSIONS TRUST LIMITED AS TRUSTEE OF THE SHELL CONTRIBUTORY PENSION FUND | SHELL CENTRE LONDON | UNITED KINGDOM | INUKFP044415 | 8064 | 0.00 FPC | AAETS8177Q |
| 148 | IN303438 | 10016531 | UBS ASSET MANAGEMENT LIFE LTD | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INUKFP010018 | 7979 | 0.00 FPC | AACCU1570G |
| 149 | IN300142 | 10762552 | INVESCO INDIA ETF | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | INDIA | INUSFP036518 | 7974 | 0.00 FPC | AADTP7308M |
| 150 | IN300167 | 10096503 | SUNSUPER SUPERANNUATION FUND | 30 LITTLE CRIBB STREET MILTON QLD | AUSTRALIA | INAUFP165017 | 7330 | 0.00 FPC | AAKTS6613F |

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| 151 | IN300142 | 10554964 | MIRAE ASIA PACIFIC STAR EQUITY INVESTMENT TRUST NO . 1 | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP035816 | 7318 | 0.00 | FPC | AABTM4249E |
| 152 | IN300167 | 10128022 | DIMENSIONAL FUNDS PLC - MULTI-FACTOR EQUITY FUND | 25/28 NORTH WALL QUAY DUBLIN 1 | IRELAND | INIRFP127115 | 7216 | 0.00 | FPC | AAFCD1235L |
| 153 | IN300054 | 10040624 | MIRAE ASSET GEM SECTOR LEADER EQUITY FUND | 31 ZA Bourmicht L-8070 Bertrange Luxembourg | LUXEMBOURG | INLUF211916 | 7074 | 0.00 | FPC | AAGCM4589B |
| 154 | IN303438 | 10006805 | WINDSTREAM MASTER TRUST | 4001 RODNEY PARHAM ROAD BUILDING 1 3RD FLOOR LITTLE ROCK ARKANSAS | UNITED STATES OF AMERICA | INUSFP169316 | 6666 | 0.00 | FPC | AAATW2230L |
| 155 | IN300167 | 10135343 | OREGON PUBLIC EMPLOYEES RETIREMENT SYSTEM MANAGED THROUGH DIMENSIONAL FUND ADVISORS LP | 350 WINTER STREET NE SUITE 100 SALEM OREGON | UNITED STATES OF AMERICA | INUSFP014216 | 6250 | 0.00 | FPC | AAATO2984K |
| 156 | IN300167 | 10151319 | UBS (US) GROUP TRUST | ONE NORTH WACKER DRIVE CHICAGO ILLINOIS | UNITED STATES OF AMERICA | INUSFP226916 | 5989 | 0.00 | FPC | AAATU2988B |
| 157 | IN303438 | 10006451 | ISHARES EMERGING MARKETS IMI EQUITY INDEX FUND | LEVEL 26 101 COLLINS STREET MELBOURNE VIC | AUSTRALIA | INAUFP233415 | 5976 | 0.00 | FPC | AABTB6669D |
| 158 | IN300142 | 10617292 | INDIA INFRA EQUITY FUND | HSBC BUILDING 25 1-KA BONGRAE-DONG CHUNG-KU SEOUL KOREA | SOUTH KOREA | INSKFP054916 | 5500 | 0.00 | FPC | AAATI5987Q |
| 159 | IN300054 | 10084852 | QIC INTERNATIONAL EQUITIES FUND | Level 5 66 Eagle Street Brisbane | AUSTRALIA | INAUFP071316 | 4914 | 0.00 | FPC | AAATQ0265H |
| 160 | IN300167 | 10158703 | MERCER UCITS COMMON CONTRACTUAL FUND - NFU MUTUAL GLOBAL REGIONAL CORE FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INIRFP060519 | 4652 | 0.00 | FPC | AAGTM2759J |
| 161 | IN300054 | 10080999 | JOHN HANCOCK FUNDS II EMERGING MARKETS FUND | 601 CONGRESS STREET BOSTON MA 02210 2805 USA | UNITED STATES OF AMERICA | INUSFP164915 | 4457 | 0.00 | FPC | AAATJ9049G |
| 162 | IN303438 | 10018375 | VANGUARD ESG INTERNATIONAL STOCK ETF | JPMORGAN CHASE BANK N.A. INDIA SUB CUSTODY 6th FLOOR, PARADIGM B MINDSPACE, MALAD W, MUMBAI | INDIA | INUSFP014619 | 4189 | 0.00 | FPC | AACTV8876B |
| 163 | IN300142 | 10754704 | NIKKO AM ASIAN SMALL MID EQUITY FUND | 1 QUEENS ROAD CENTRAL CENTRAL HONG KONG | HONG KONG | INHFKP104117 | 4097 | 0.00 | FPC | AACTN5955B |
| 164 | IN300054 | 10009298 | LOS ANGELES CITY EMPLOYEES RETIREMENT SYSTEM | 202 West First Street Suite 500 Los Angeles California | UNITED STATES OF AMERICA | INUSFP175717 | 4089 | 0.00 | FPC | AAATL3381R |
| 165 | IN303438 | 10016429 | UNIVERSAL-INVESTMENT-GESELLSCHAFT MBH ON BEHALF OF UI-FONDS BAV RBI AKTIEN | THEODOR-HEUSS-ALLEE 70 60486 FRANKFURT AM MAIN HESSEN | GERMANY | INGEFP118517 | 3902 | 0.00 | FPC | AAAAU9895N |
| 166 | IN300167 | 10112575 | EB DV ACWI EX-U.S. SMALL CAP FUND | 225 LIBERTY STREET New York NY | UNITED STATES OF AMERICA | INUSFP136316 | 3819 | 0.00 | FPC | AAATE5261B |
| 167 | IN303438 | 10014255 | ALLIANZ GLOBAL INVESTORS GMBH ACTING ON BEHALF OF ALLIANZGI-FONDS DSPT | BOCKENHEIMER LANDSTRASSE 42-44 HESSE FRANKFURT/ MAIN | GERMANY | INGEFP084616 | 3739 | 0.00 | FPC | AADAA7542B |

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| 168 | IN300167 | 10113756 | BLACKROCK AQUILA EMERGING MARKETS FUND | 12 THROGMORTON AVENUE LONDON | UNITED KINGDOM | INUKFP322416 | 3645 | 0.00 | FPC | AABTA6155F |
| 169 | IN300142 | 10743212 | PUBLIC EMPLOYEES RETIREMENT ASSOCIATION OF NEW MEXICO | 33 PLAZA LA PRENSA SANTA FE NEW MEXICO | UNITED STATES OF AMERICA | INUSFP216615 | 2942 | 0.00 | FPC | AABTP6710H |
| 170 | IN300167 | 10151940 | IOWA PUBLIC EMPLOYEES' RETIREMENT SYSTEM - UBS ASSET MANAGEMENT (AMERICAS) INC | 7401 REGISTER DRIVE DES MOINES IOWA | | INUSFP054018 | 2905 | 0.00 | FPC | AAAT16910K |
| 171 | IN300054 | 10078971 | JOHN HANCOCK VARIABLE INSURANCE TRUST EMERGING MARKETS VALUE TRUST | 601 CONGRESS STREET BOSTON MA 02210-2805 | UNITED STATES OF AMERICA | INUSFP038215 | 2887 | 0.00 | FPC | AAATJ9048H |
| 172 | IN300142 | 10775758 | HSBC INDEX TRACKER INVESTMENTS FUNDS FTSE ALL-WORLD INDEX FUND | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | INDIA | INUKFP090420 | 2747 | 0.00 | FPC | AAECH8780P |
| 173 | IN300167 | 10031520 | SPDR S AND P EMERGING MARKETS ETF | STATE STREET FINANCIAL CENTER ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP131416 | 2662 | 0.00 | FPC | AAGTS4584D |
| 174 | IN301524 | 30049709 | DFA INTERNATIONAL VECTOR EQUITY FUND | SUITE 1520 - 1500 WEST GEORGIA STREET VANCOUVER BRITISH COLUMBIA | CANADA | INCAFP268815 | 2602 | 0.00 | FPC | AABTD3519Q |
| 175 | IN300167 | 10157171 | FRANKLIN LIBERTYSHARES ICAV - FRANKLIN FTSE INDIA UCITS ETF | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INIRFP039619 | 2592 | 0.00 | FPC | AADCF7289G |
| 176 | IN300142 | 20770718 | VANGUARD FUNDS PUBLIC LIMITED COMPANY - VANGUARD FTSE ALL-WORLD UCITS ETF | 70 SIR JOHN ROGERSON'S QUAY DUBLIN | IRELAND | INIRFP072917 | 2482 | 0.00 | FPC | AAECV0901E |
| 177 | IN300054 | 10112010 | FIDELITY UCITS ICAV - FIDELITY SUSTAINABLE RESEARCH ENHANCED EMERGING MARKETS EQUITY UCITS ETF | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INIRFP082620 | 1952 | 0.00 | FPC | AAECF3286C |
| 178 | IN300167 | 10131729 | STATE STREET IRELAND UNIT TRUST STATE STREET IUT EMERGING MARKET EQUITY INDEX FUND | 78 SIR JOHN ROGERSONS QUAY DUBLIN 2 IRELAND | IRELAND | INIRFP216215 | 1870 | 0.00 | FPC | AAPTS9525B |
| 179 | IN300167 | 10160958 | AMERICAN CENTURY ETF TRUST - AVANTIS EMERGING MARKETS EQUITY FUND | DEUTSCHE BANK AG, DB HOUSE, HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP004020 | 1810 | 0.00 | FPC | AAHTA6005C |
| 180 | IN300054 | 10038629 | NORTHERN TRUST COMMON ALL COUNTRY WORLD EX-US INVESTABLE MARKET INDEX FUND-NON LENDING | 50 South LaSalle Street Chicago Illinois | UNITED STATES OF AMERICA | INUSFP246115 | 1717 | 0.00 | FPC | AABTN0934H |
| 181 | IN300142 | 10773741 | REASSURE LIMITED | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | INDIA | INUKFP080119 | 1674 | 0.00 | FPC | AAKCR3582R |
| 182 | IN303438 | 10011537 | FLEXSHARES MORNINGSTAR EMERGING MARKETS FACTOR TILT INDEX FUND | 50 S LASALLE STREET CHICAGO IL | UNITED STATES OF AMERICA | INUSFP242515 | 1648 | 0.00 | FPC | AAATF4143K |

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|-----|----------|----------|---|---|--------------------------|--------------|------|------|-----|------------|
| 183 | IN300054 | 10112036 | DIMENSIONAL EMERGING CORE EQUITY MARKET ETF OF DIMENSIONAL ETF TRUST | CITIBANK N.A. CUSTODY SERVICES FIFC- 11TH FLR, G BLOCK PLOT C-54 AND C-55, BKC BANDRA - EAST, MUMBAI | INDIA | INUSFP082720 | 1431 | 0.00 | FPC | AADTD6744K |
| 184 | IN303438 | 10006602 | TRUSTEES OF THE MINeworkERS' PENSION SCHEME LIMITED MINeworkERS' PENSION SCHEME | VENTANA HOUSE 2 CONCOURSE D-WAY SHEAF STREET SHEFFIELD S1 2BJ UNITED KINGDOM | | INUKFP002915 | 1410 | 0.00 | FPC | AAATM5209P |
| 185 | IN301524 | 30035748 | UBS FUND MANAGEMENT (SWITZERLAND) AG ON BEHALF OF SIHL INSTITUTIONAL FUND - AKTIEN EMERGING MARKETS | AESCHENPLATZ 6 | | INCHFP052214 | 1388 | 0.00 | FPC | AAAAU2392J |
| 186 | IN300054 | 10102206 | WISDOMTREE INDIA INVESTMENT PORTFOLIO, INC. | C/O CIM GLOBAL BUSINESS 33 EDITH CAVELL STREET PORT LOUIS | MAURITIUS | INMUFP080015 | 1386 | 0.00 | FPC | AAACW7548L |
| 187 | IN300167 | 10147594 | FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE INDIA ETF | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP003618 | 1258 | 0.00 | FPC | AAATF6338E |
| 188 | IN300167 | 10141511 | CC&L Q INTERNATIONAL EQUITY FUND | 1111 WEST GEORGIA STREET SUITE 2300 VANCOUVER BRITISH COLUMBIA | CANADA | INCAFP073617 | 1151 | 0.00 | FPC | AABTC7445C |
| 189 | IN300167 | 10021264 | PUBLIC EMPLOYEES RETIREMENT SYSTEM OF MISSISSIPPI | 429 MISSISSIPPI STREET JACKSON MISSISSIPPI | UNITED STATES OF AMERICA | INUSFP051117 | 1128 | 0.00 | FPC | AADCP8726G |
| 190 | IN300167 | 10132344 | STATE STREET MSCI ACWI EX USA IMI SCREENED NON-LENDING COMMON TRUST FUND | ONE LINCOLN STREET BOSTON MA | UNITED STATES OF AMERICA | INUSFP234015 | 1122 | 0.00 | FPC | AAPTS8365R |
| 191 | IN300167 | 10105044 | WASHINGTON STATE INVESTMENT BOARD MANAGED BY STATE STREET GLOBAL ADVISORS GUARANTEED EDUCATION TUIT ION-GET | 2100 EVERGREEN PARK DRIVE SW OLYMPIA WASHINGTON | UNITED STATES OF AMERICA | INUSFP098215 | 1080 | 0.00 | FPC | AAAJW0112B |
| 192 | IN300167 | 10023635 | BLACKROCK GLOBAL FUNDS GLOBAL SMALLCAP FUND | 2-4 RUE EUGENE RUPPERT LUXEMBOURG | LUXEMBOURG | INLUF210016 | 1028 | 0.00 | FPC | AACCM3444P |
| 193 | IN300167 | 10041027 | SA EMERGING MARKETS VALUE FUND | 10 ALMADEN BLVD 15 TH FLOOR SAN JOSE CALIFORNIA | UNITED STATES OF AMERICA | INUSFP116216 | 939 | 0.00 | FPC | AAHTS0854M |
| 194 | IN300167 | 10088144 | INTEGRATED CORE STRATEGIES ASIA PTE LTD | 80 RAFFLES PLACE UOB PLAZA 2 14- 20 | SINGAPORE | INSGFP121916 | 886 | 0.00 | FPC | AACCI4678K |
| 195 | IN303438 | 10013784 | VANGUARD ASIA EX JAPAN SHARES INDEX FUND | LEVEL 34 FRESHWATER PLACE 2 SOUTHBANK BOULEVARD SOUTHBANK VICTORIA | AUSTRALIA | INAUFP243315 | 860 | 0.00 | FPC | AACTV0827C |
| 196 | IN300167 | 10112069 | VANGUARD FTSE ASIA EX JAPAN INDEX ETF | C/O STATE STREET TRUST HK LIMITED 68/F TWO INTERNATIONAL FINANCE CENTRE 8 FINANCIAL STREET CENTRAL HONG KONG | HONG KONG | INHKFP084016 | 718 | 0.00 | FPC | AABTV7353G |
| 197 | IN300167 | 10138794 | MACKENZIE MAXIMUM DIVERSIFICATION EMERGING MARKETS INDEX ETF | 180 QUEEN ST W TORONTO ONTARIO | CANADA | INCAFP217216 | 714 | 0.00 | FPC | AAEAM9600M |

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|---------------|----------|----------|--|--|---------|--------------|-----------------|--------------|-----|------------|
| 198 | IN300142 | 10772917 | VANGUARD INVESTMENT SERIES PLC - VANGUARD ESG EMERGING MARKETS ALL CAP EQUITY INDEX FUND | HSBC SECURITIES SERVICES 11TH FLOOR BLDG 3 NESCO IT PARK NESCO COMPLEX W E HIGHWAY GOREGAON EAST MUMBAI | INDIA | INIRFP049720 | 600 | 0.00 | FPC | AAHCV3894R |
| 199 | IN300054 | 10103637 | WISDOM TREE ISSUER PLC - WISDOM TREE EMERGING MARKETS SMALLCAP DIVIDEND UCITS ETF | FITZWILTON HOUSE WILTON PLACE DUBLIN 2 | IRELAND | INIRFP084515 | 452 | 0.00 | FPC | AABCW5937G |
| 200 | IN300167 | 10148961 | CF DV ACWI EX-U.S. IMI FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP018918 | 427 | 0.00 | FPC | AACTC2858A |
| 201 | IN301524 | 30049602 | CC&L Q EMERGING MARKETS EQUITY FUND | 1111 WEST GEORGIA ST SUITE 2200 VANCOUVER B C CANADA | CANADA | INCAFP153415 | 316 | 0.00 | FPC | AABTC7446B |
| 202 | IN300167 | 10147609 | FRANKLIN TEMPLETON ETF TRUST - FRANKLIN FTSE ASIA EX JAPAN ETF | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUSFP003418 | 213 | 0.00 | FPC | AAATF6339F |
| 203 | IN300167 | 10150969 | VANGUARD INVESTMENTS FUNDS ICVC-VANGUARD FTSE GLOBAL ALL CAP INDEX FUND | DEUTSCHE BANK AG, DB HOUSE HAZARIMAL SOMANI MARG, P.O.BOX NO. 1142, FORT MUMBAI | INDIA | INUKFP043018 | 124 | 0.00 | FPC | AAGCV3937J |
| 204 | IN300142 | 10777921 | VANGUARD FUNDS PLC / VANGUARD ESG GLOBAL ALL CAP UCITS ETF | HSBC SECURITIES SERVICES 11TH FLOOR, BLDG 3, NESCO - IT PARK NESCO COMPLEX, W.E. HIGHWAY GOREGAON (EAST), MUMBAI | INDIA | INIRFP016921 | 46 | 0.00 | FPC | AAHCV9696F |
| TOTAL: | | | | | | | 25194186 | 13.46 | | |

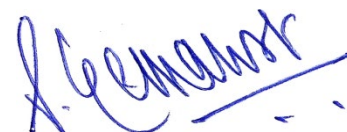
IX. MEETING OF MEMBERS/CLASS OF MEMBERS/BOARD/COMMITTEES OF THE BOARD OF DIRECTORS

(C) COMMITTEE MEETINGS

Details of 11th to 12th Committee Meetings of the Company

| S.No | Type of Meeting | Date of Meeting | Total Number of Members as on the date of Meeting | Attendance | |
|------|---|-----------------|---|----------------------------|-------------------------|
| | | | | Number of members attended | % of total shareholding |
| 11 | Corporate Social Responsibility Committee | June 12, 2020 | 4 | 4 | 100 |
| 12 | Risk Management Committee | June 12, 2020 | 4 | 4 | 100 |

For Dalmia Bharat Limited

Dr. Sanjeev Gemawat
Group General Counsel &
Company Secretary
Membership No. F3669

Dalmia Bharat Limited

11th & 12th Floors, Hansalaya Building, 15, Barakhamba Road, New Delhi-110 001, India
 t 91 11 23465100 f 91 11 2331 3303 w www.dalmiabharat.com CIN : L14200TN2013PLC112346
 Registered Office: Dalmiapuram, Dist. Tiruchirapalli, Tamil Nadu- 621 651, India
 A **Dalmia Bharat Group** company, www.dalmiabharat.com

Form No. MGT – 8

[Pursuant to section 92(2) of the Companies Act, 2013 and rule 11(2) of Companies (Management and Administration) Rules, 2014]

CERTIFICATE BY A COMPANY SECRETARY IN PRACTICE

I have examined the registers, records, books, minutes books and papers of Dalmia Bharat Limited (CIN: L14200TN2013PLC112346) (hereinafter referred to as "the Company") having its registered office at Dalmiapuram, Dist. Tiruchirapalli– 621651, Tamil Nadu, as required to be maintained under the Companies Act, 2013 (the Act) and the rules made thereunder for the financial year ended on March 31, 2021 digitally provided to me by the Company. In our opinion and to the best of our information digitally provided and according to the examinations carried out by me and explanation furnished to me by the Company, its officers and agents, I certify that:

- A. The Annual Return states the facts as at the close of the aforesaid financial year correctly and adequately.
- B. During the financial year the Company has complied with provisions of the Act & Rules made there under in respect of:
 1. Its status under the Act; the Company is Public Limited Listed Company and its equity shares are listed on BSE Limited and National Stock Exchange of India Limited.
 2. The Company is maintaining following registers/records and making entries therein within the time prescribed therefore;
 - Minutes Book of the Board Meeting
 - Minutes Book of the General Meetings of the Members
 - Register of Directors and KMP and their shareholding
 - Register of Directors' Attendance in Board and Committee Meetings
 - Register of Contracts
 - Register of Charges
 - Register of Loans & Investments
 - Register of ESOP
 - Register of Buy Back



However, Register of Members, Register of Share Transfers/Transmission, etc. have been maintained by the Registrar and Share Transfer Agent, M/s KFIN Technologies Private Limited.

3. The Company has filed one e-Form(PAS-3 filed vide SRNT10728103 dated 30.03.2021) with the Registrar of Companies, beyond the prescribed time of 30 days from the date of event, but was filed within the time of 300 days from the date of occurring of event.

However, other e-Forms were filed on time with the Registrar of Companies, Regional Director, Central Government, the Tribunal, Court, wherever applicable, during the period under review;

4. All the meetings of the Board and its committee were properly called / convened and held by giving at least 7 days advance notice and if convened on shorter notice, necessary compliance of the provisions of the Companies Act, 2013 and Secretarial Standards have been made. The meetings of the members of the Company were held on due dates as stated in the annual return in respect of which meetings, proper notices were given and the proceedings including the circular resolutions and resolutions passed by postal ballot, if any, have been properly recorded in the minutes book / registers maintained for the purpose and the same have been signed;
5. The closure of Register of Members was made as per the provisions of the Act;
6. As per information provided and records shown to us, the Company had not given any Advances / loans to its Directors and /or persons or firms or companies referred to in section 185 of the Act;
7. As per information and explanation given us, all the Contracts / arrangements with related parties were as specified in section 188 of the Act;
8. The Company has issued and allotted 3,25,500 Equity Shares of Rs.2 each through DB Trust (formerly known as DBEL Employee Welfare Trust) pursuant to the scheme of DBL ESOP- 2018 of the Company and being listed entity, transfer or transmission of securities are being controlled by the RTA or other SEBI appointed depository participants. The company has bought back 61,66,540 Equity Shares of Rs.2 each during the year. However no other activity relating to redemption of preference of shares or debentures/alteration or reduction of



share capital/ conversion of shares / securities was noticed / reported except Buy Back of shares pursuant to open market stock exchange mechanism;

9. The Company has not kept in abeyance any rights to dividend. The Company did not issue any rights shares and bonus shares, etc. during the period under report and hence the clause relating to keeping in abeyance the rights to rights shares and bonus shares pending registration of transfer of shares in compliance with the provisions of the Act, is not applicable.
10. Declaration/payment of Dividend; transfer of unpaid / unclaimed dividend / other amounts as applicable to the Investor Education and Protection Fund in accordance with section 125 of the Act;
11. The Company has complied with the provision related to signing of audited financial statement as per the provisions of section 134 of the Act and report of directors is as per sub-sections (3), (4) and (5) thereof.
12. The Board is properly constituted and appointment /re-appointment of Directors, Key Managerial Personnel were made in accordance with the provisions of the Act and disclosures of the Directors, Key Managerial Personnel were properly taken on record by the Board and payment of remuneration to them were made within the provisions of the Act;
13. Auditors were duly appointed and their tenure as statutory auditors is expiring on the conclusion of the 8th Annual General Meeting. The Board of Directors recommended for appointment of Statutory auditors for a period of five years in place of the retiring auditors under the provisions of Section 139(2) of the Act;
14. The Company has obtained all necessary approvals required to be taken from the Central Government, Tribunal, Regional Director, Registrar, Court or such other authorities under the various provisions of the Act;
15. The Company has not accepted/renewed /repaid any deposits during the period under report;
16. During the period under report, the Company had not made borrowings from its Directors or members / Banks/Public Financial institutions and not required to file for creation / modifications / satisfaction of charge in that respect, wherever applicable;




CS R. Venkatasubramanian,
M.Sc., Dip.in O.R., MIIA., ACMA., ACS.
PRACTISING COMPANY SECRETARY

No.76, Angarai Agraharam,
Angarai Post, Lalgudi Taluk,
Pin : 621 703, Trichy Dt.,
Off : Ph : 0431 - 2541818,
Mobile : 94431 - 31818.
e.mail. : rvs.pcs@gmail.com.

17. The amount of loans given or investments made or guarantees given or securities provided to other bodies corporate or persons in other bodies corporate was within the limits of section 186 of the Act, during the period under report;
18. The Company has not altered its Memorandum of Association and Articles of Association of the Company, during the period under report;
19. The Company accepted the resignation of Mr. Jayesh Doshi, Whole Time Director and CFO of the Company. and
20. The Company has appointed Mr.Dharmendra Tuteja as the CFO of the Company during the period under report.

Date: 25.10.2021
Place: Angarai




R. Venkatasubramanian.
Practicing Company Secretary
ACS No. 3673; CP No. 3893
UDIN:A003673C001277187