

BSE Limited
P J Towers, Dalal Street,
Fort Mumbai-400001
Scrip Code: 542216

National Stock Exchange of India Limited
“Exchange Plaza”, C-1, Block G
Bandra – Kurla Complex, Bandra (E),
Mumbai – 400 051
Symbol: DALBHARAT

Sub: Annual Secretarial Compliance Report for the financial year ended March 31, 2022

Dear Sir/Madam,

Pursuant to Regulation 24A of the Listing Regulations read with SEBI Circular No. CIR/CFD/CMD1/27/2019 dated February 08, 2019, please find enclosed the Annual Secretarial Compliance Report issued by R. Venkatasubramanian, Practising Company Secretary, for the financial year ended March 31, 2022.

Kindly take the same on your records.

Thanking You,

Yours Faithfully,
For Dalmia Bharat Limited



Dr. Sanjeev Gemawat
Group General Counsel &
Company Secretary
Membership No. F3669

Dalmia Bharat Limited

Secretarial Compliance Report of Dalmia Bharat Limited

For the year ended March 31, 2022

I, R.Venkatasubramanian, Practising Company Secretary, have examined:

- (a) all the documents and records made available to us and explanation provided by Dalmia Bharat Limited ("the listed entity"),
- (b) the filings/submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/filing, as may be relevant, which has been relied upon to make this certification,

for the year ended March 31, 2022("Review Period") in respect of compliance with the provisions of:

- (a) the Securities and Exchange Board of India Act, 1992("SEBI Act") and the Regulations ,circulars, guidelines issued there under; and
- (b) the Securities Contracts (Regulation) Act, 1956("SCRA"), Rules made there under and the Regulations, circulars, guidelines issued there under by the Securities and Exchange Board of India("SEBI")

The specific Regulations, whose provisions and the circulars/guidelines issued there under, have been examined, include: -

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares And Takeovers) Regulations, 2011;



- (d) Securities and Exchange Board of India (Buy back of Securities) Regulations, 2018;
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014;
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; -(Not Applicable to the Company during the Audit Period)
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013;
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
- (i) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client; (Not Applicable to the Company during the Audit Period)
- (j) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009; (Not Applicable to the Company during the Audit Period)

and circulars/guidelines issued there under;

and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/guidelines issued there under, except in respect of matters specified below:

| Sr. No | Compliance Requirement (Regulations/circulars/guidelines including specific clause) | Deviations | Observations/Remarks of the Practising Company Secretary |
|----------------------------|---|------------|--|
| No Reportable Observations | | | |

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/guidelines issued there under in so far as it appears from my examination of those records.



(c) The following are the details of actions taken against the listed entity/its promoters/directors/material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued there under

| Sr. No. | Action taken by | Details of violation | Details of action taken E.g. fines, warning letter, debarment, etc. | Observations/Remarks of the Practising Company Secretary, if any. |
|----------------------------|-----------------|----------------------|---|---|
| No Reportable Observations | | | | |


(d) The listed entity has taken the following actions to comply with the observations made in previous reports:

| Sr. No. | Observations Of the Practising Company Secretary in the previous reports | Observations made in the previous secretarial compliance report | Actions taken By the listed entity, if any | Comments of the Practising Company Secretary on the Actions taken by the listed entity |
|----------------------------|--|---|--|--|
| No Reportable Observations | | | | |

(e) I further certify that the Company has complied with the requirements of paragraph 6(A) and 6(B) of SEBI Circular No. CIR/CFD/CMD1/114/2019 dated October 18,2019, in the terms of appointment of statutory auditors of the Company.

Place: Angarai
Date: 09.05.2022



Signature: 
Name: R Venkatasubramanian
Practising Company Secretary
ACS No: 3673 CP No: 3893
UDIN: A003673D000292819